

***CURRICULUM VITAE***  
**PETER BRANDT EVANS**

**ADDRESSES:** Watson Institute for International Studies  
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Brown University  
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Department of Sociology  
410 Barrows Hall  
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**EDUCATION:** B.A. Harvard College, 1966  
(magna cum laude, Phi Beta Kappa)  
M.A., Harvard University, 1968  
Ph.D., Harvard University, 1971  
M.A., Oxford University, 2005

**CURRENT APPOINTMENTS:**

Professor of the Graduate School (Emeritus) Department of Sociology, University of California, Berkeley, 2011

Senior Fellow in International Studies,

Watson Institute for International Studies at Brown University, 2011 –

Visiting Professor, Department of Sociology, Brown University 2012-2013

Board Member, United Nations Research Institute for Social Development (UNRISD), 2007-  
Member, “Successful Societies” Program,

Canadian Institute for Advanced Research (CIFAR) 2004- 2012

Member, American Academy of Arts and Sciences, 2001 -

## **PREVIOUS PROFESSIONAL APPOINTMENTS:**

Professor of Sociology, University of California, Berkeley, 1990 – 2011  
Marjorie Meyer Eliaser Professor of International Studies, University of California, Berkeley 2002-12  
Associate Director, Mellon Fellowship Program on Latin American Sociology,  
Department of Sociology, University of California 2007- 2011  
Co-Director, Global Metropolitan Studies Program, University of California, Berkeley 2005-2008  
Visiting Fellow, Project on Democracy and Development, Princeton Institute for International  
and Regional Studies, Princeton University. September - December 2007  
Eastman Visiting Professor, Oxford University, 2004 - 2005  
Chair, Department of Sociology, University of California, Berkeley, 1998 - 2000, 2002 - 2004  
Visiting Scholar, Russell Sage Foundation, 2001 – 2002  
Director, Mellon Fellowship Program - Latin American Sociology, University of California 2007-  
Chancellor's Professor of Sociology, University of California, Berkeley, 1997 - 2000  
Professor of Sociology and Latin American Studies, University of New Mexico, 1987 - 1990  
Fellow, Center for Advanced Studies in the Behavioral Sciences, Stanford, CA, 1988 - 1989  
Professor, Graduate School of International Relations and Pacific Studies, University of  
California, San Diego, 1986 - 1990  
Professor of Sociology, University of California, San Diego, 1986 - 90  
Member, Sociological Research Association (Honorary Society of American Sociological  
Association), 1991 -  
Fellow, Center for the Advanced Study in the Behavioral Sciences (Stanford) 1988 - 1989  
Guggenheim Fellow, 1988 – 1989.  
Assoc. Director, Center for the Comparative Study of Development, Brown University, 1983-87  
Professor Sociology, Brown University 1983 - 1987  
Visiting Tinker Fellow, Centro de Análise e Planejamento (CEBRAP),  
São Paulo, Brazil. May - August 1984.  
Visiting Scholar, Latin American Institute, University of New Mexico. 1983 - 1986  
Associate Professor of Sociology, Brown University, 1977 - 1983  
Consultant, United Nations Industrial Development Organization (UNIDO), Regional and  
Country Studies Branch, 1982 - 1983  
Visiting Scholar, Anderson School of Management, University of New Mexico 1978 - 1979  
Visitor, Graduate School of Business Administration, Harvard University, 1978 - 1979  
Assistant Professor of Sociology, Brown University, 1970 - 1977  
Visiting Professor of Sociology, Universidade de Brasília, Spring 1974 - Fall 1974 (under  
auspices of Ford Foundation program for support to graduate education in Brazil)  
Research Associate at the Center for International Affairs, Harvard University, 1971  
Tutor in Sociology, Kivukoni College, Dar es Salaam, Tanzania, 1964 - 1965

## **RESEARCH GRANTS, FELLOWSHIPS AND AWARDS:**

Lifetime Achievement Award, Development Sociology Section, American Sociological Association, 2012

Member of Project Advisory Board of Grant awarded to the Center for the Study of Law, Justice and Society (Dejusticia) in Bogota, Colombia by Ford Foundation programs on Global Economic Governance and Civil Society for project on "Democratizing Global Economic Governance: Building a Global Economic Mapping that Civil Society Can Use" January 2008 – January 2009. Renewed for additional \$200,00 for June 2009 – December 2011.

Grant from Labor and Employment Research Fund on "Building Public Data on Global Corporations and Labor Rights Campaigns" December 2006-December 2007

Grant for organizing Global Workshop for Labor Rights Research Organizations from Institute of Industrial Relations, University of California, Berkeley, Spring 2006.

Co-Principal Investigator, project entitled "Green Governance, Green Peace: A Program of International Exchange in Environmental Governance, Community Resource Management, and Conflict Resolution" funded by the Luce Foundation, 2004-2009.

Research Project on "California Labor and Global Solidarity" Funded by the Institute for Labor and Employment, University of California. (July 1, 2001 - June 30, 2003).

Research support from the Institute of Industrial Relations, University of California, Berkeley for project on "The WTO as Organization and Institution: Implications for Labor" (July 1, 1999 - June 30, 2000).

Grant from the "Social Capital and Public Affairs Project," American Academy of Arts and Sciences to pursue project on Social Capital and Urban Livability in the Third World (1997 - 2000).

Research grant from the Policy Research Division, World Bank, to pursue joint research with James E. Rauch (Dept. of Economics, UCSD) "Bureaucratic Structures and Economic Performance in Less Developed Countries." (1996 – 1997).

Grant from the Ford Foundation for a workshop on "Social Development in the Context of Market Reform: Civil Society, Public Institutions and Policy Choices," organized in collaboration with the Institute for Economic Research of Ho Chi Minh City, June 17-21, 1996, Ho Chi Minh City, Vietnam.

"Bureaucratic Structures and Economic Performance in Less Developed Countries" joint research project (with James E. Rauch, Department of Economics, UCSD) funded by IRIS (Institutional Reform and the Informal Sector). 1995 – 1996.

Grant from The Andrew W. Mellon Foundation to support doctoral training for selected students in Latin American Sociology. (Director of Program) 1994 – 2000 (\$450,000), renewed for 1999 - 2005 (\$410, 000), renewed again for 2005 - 2009 (\$250,000). Extended through December 2011.

Award for Excellence in Teaching, Northern California Association of PHI BETA KAPPA. June, 1994.

"Ideas, Institutions and Economic Growth," collaborative project funded by the Russell Sage Foundation to explore interdisciplinary approaches to the study of economic growth and political development (January, 1993 - December, 1994).

"Brazilian Informatics in Transition: Government Policy and International Trends in the 1990's" Grant from the Tinker Foundation.

Residential Fellowship, Institute for Far Eastern Studies, Kyungnam University, Seoul Korea, Spring, 1988.

Grant from Indo-American Fellowship Program, Indo-U.S. Subcommittee on Education and Culture, 1988 - 1989.

Post-doctoral fellowship on BRAZILIAN COMPUTERS: The Consequences of Core Country Industrial Organization and State Policy for Bargaining in a High Technology Industry. Funded by Social Science Research Council (Latin American Program). 1985 - 1986.

Research grant for study of "The Interaction of Core and Center Industrial Evolution: The Case of the Computer Industry" funded by the Tinker Foundation. (September 1985 - September 1987) (extended through December, 1988).

Grant from Russell Sage foundation on "Working Families and Sunbelt Industrialization: Changes in Home and Workplace" for analysis and write-up of research conducted under 1982 - 1983 NSF Grant (co-principal investigator with Louise Lamphere) 1985 - 1986.

Tinker Fellowship for study at the Centro de Analise e Planejamento, Sao Paulo, Brazil (May-August 1984).

"Women's Work and Family Strategies in the Context of Sunbelt Industrialization," National Science Foundation award #BNS 8112736 (co-principal investigator with Louise Lamphere), 1982 - 1983.

Fulbright Hayes Faculty Research Abroad Fellowship for research in Brazil on the topic "Transnational Integration and Industrial Development: The Polo do Nordeste as a Case Study" April - July, 1979.

Social Science Research Council Training Fellowship for the Study of Finance, Accounting and Managerial Economics, 1978 - 1979.

Howard Foundation Fellow, Spring 1975.

Co-principal Investigator (with Mauricio Vinhas de Queiroz) "Complementaridade e Fricoes na Estrutura Empresarial no Brazil" funded by the Ford Foundation, Brazil Office, 1974.

National Science Foundation Graduate Fellow, 1966 - 1969.

## **PUBLICATIONS:**

### **Books and Monographs:**

*Instituciones y Desarrollo en la era de la Globalización Neoliberal [Institutions & Development in an era of Neo-liberal Globalization]* (Bogota, Colombia: ILSA, 2007)

*Livable Cities? The Politics of Urban Livelihood and Sustainability.* (edited) (University of California Press, 2002)

*State-Society Synergy: Government Action and Social Capital in Development.* (edited) (Berkeley: CA: UC Berkeley, International and Area Studies Publications, 1997) [Research Series, No. 94] (includes articles from *World Development*, June, 1996 issue --see articles, below)

*Embedded Autonomy: States and Industrial Transformation.* (Princeton, NJ: Princeton University Press, 1995)

Winner of 1996 Award for Distinguished Scholarship Award from The Political Economy of the World System Section of the American Sociological Association and 1997 Distinguished Contribution to Scholarship Award from The Political Sociology Section of the American Sociological Association.

Iranian Edition with new preface by author, published in Persian, 2001

Brazilian Edition: *Autonomia e Parceria: Estados e Transformação Industrial.* Rio de Janeiro, Brazil: Editora UFRJ (Universidade Federal do Rio de Janeiro), 2004.

Chinese Edition: Forthcoming 2009. SDX Joint Publishing Company, Beijing, China

*Double-Edged Diplomacy: International Bargaining and Domestic Politics* (co-edited with Harold Jacobson and Robert Putnam) (University of California Press, 1993) [in series - Studies in International Political Economy, Stephen Krasner, Miles Kahler, and Ernest Haas, series editors]. Chinese edition forthcoming 2009 Shanghai People's Publishing House, Shanghai, China.

*High Technology and Third World Industrialization: Brazilian Computer Policy in Comparative Perspective.* co-edited with Claudio Frischtak and Paulo Tigre) (Berkeley: CA: UC Berkeley, International and Area Studies Publications, 1992) [Research Series, No. 85]

*Informática Brasileira em Transição: Política Governamental e Tendências Internacionais nos Anos 90*, co-edited with Claudio Frischtak and Paulo Tigre, Rio de Janeiro, Brazil: Instituto de Economia Industrial, Universidade Federal do Rio de Janeiro, 1992. [Portuguese version of IAS volume above]

*Bringing the State Back In: New Perspectives on the State as Institution and Social Actor.* (edited with Dietrich Rueschemeyer and Theda Skocpol) Cambridge University Press, 1985).

*States vs. Markets in the World-system.* (Co-edited with Dietrich Rueschemeyer and Evelyne Stephens) Beverly Hills, CA.: Sage, 1985).

*The Changing International Position of U.S. Manufacturing and U.S. Industrial Policy: Implications for Latin American Industrialization.* Prepared for Regional and Country Studies

Branch, United Nations Industrial Development Organization. (Vienna: UNIDO, 1984) (UNIDO/IS.453).

*Dependent Development: The Alliance of Multinational State and Local Capital in Brazil* (Princeton, NJ: Princeton University Press, 1979). [Chapt 1 reprinted in P Levitt, S Khagram (eds.) *The Transnational Studies Reader: Intersections And Innovations*. (Routledge, 2008)]

*A Tríplice Aliança: As Multinacionais, as Estatais e o Capital Nacional no Desenvolvimento Dependente Brasileiro*. (Biblioteca de Ciências Sociais: Economia) (Translated, W. Dutra) Rio de Janeiro: Zahar Editores, 1980. (translation of *Dependent Development*).

## **Published Articles and Book Chapters:**

“Counter-Hegemonic Globalization as a Strategy for Pursuing the Great Transformation” Forthcoming 2013 in Richard Sandbrook (ed.) *Civilizing Globalization: A Survival Guide*. [new edition] (State University of New York, Press, 2013)

“Human Development, State Transformation and the Politics of the Developmental State” (with Patrick Heller). Forthcoming as Chapter 37 in *The Oxford Handbook of Transformations of the State*. edited by Stephan Leibfried, Frank Nullmeier, Evelyne Huber, Matthew Lange, Jonah Levy, and John D. Stephens. Oxford: Oxford University Press.

“Neoliberalism: Policy Regimes, International Regimes, and Social Effects,” (with William H. Sewell, Jr.) in Peter Hall and Michèle Lamont, eds., *Social Resilience in the Neoliberal Era* (Cambridge University Press, 2013), 35-68.

“What Will the 21st Century Developmental State Look Like? Implications of Contemporary Development Theory for the State’s Role” in Stephen Wing-kai Chiu and Wong Siu Lun (eds.) *Repositioning the Hong Kong Government: Social Foundations and Political Challenges*. Hong Kong University Press, Hong Kong SAR, China, 2012).

“Counter-hegemonic Globalization” in George Ritzer (ed.) *Wiley-Blackwell Encyclopedia of Globalization*, 2011

“The Challenge of 21st century Development: Building Capability Enhancing States” Working Paper for the United National Development Program 2010 ‘Capacity is Development’ Global Event. (New York: UNDP, 2010)

“Is it Labor’s Turn to Globalize? Twenty-first Century Opportunities and Strategic Responses,” *Global Labour Journal* (1)[2010]3: 352-379.

“Taking Tilly South: Durable Inequalities, Democratic Contestation and Citizenship in the Southern Metropolis,” (with Patrick Heller) *Theory & Society*, 39(3-4)[May-July 2010]: 433–450. Also pg.305-32 in Michael Hanagan and Chris Tilly (eds.) *Contention and Trust in Cities and States*. New York: Springer, 2011

“Constructing the 21st century Developmental State: Potentialities and Pitfalls” 2010. pg. 37-58 in Edigheji , Omano (ed). *Constructing a Democratic Developmental State in South Africa Potentials and Challenges*. HSRC Press, Capetown, South Africa. [abbreviated version published in *New Agenda* [Capetown, South Africa] 2009 4<sup>th</sup> Quarter pp. 6- 13] Portuguese translation forthcoming as “Construção do estado desenvolvimentista do século XXI: possibilidades e armadilhas” Chapter 2 in Viana A. L. d’Á., Ibanez N. e Bousquat, A. *Saúde, desenvolvimento, ciência, tecnologia e inovação*. São Paulo: Hucitec, 2012.

“From Situations of Dependency to Globalized Social Democracy,” 2009. *Studies in Comparative International Development*. 44:318–336.

“Population Health and Development: An Institutional-Cultural Approach to Capability Expansion,” pp. 104-127 Peter A. Hall and Michèle Lamont (eds.). in *Successful Societies: How Institutions and Culture affect Health*. Cambridge University Press, 2009

“The Changing Structure of Employment in Contemporary China” (with Sarah Staveteig), pp. 69-82 in Deborah Davis and Feng Wang, eds., *Creating Wealth and Poverty in Post-Socialist China*. Stanford University Press. 2009.

“In Search of The 21<sup>st</sup> Century Developmental State,” 2008. The Centre for Global Political Economy, University of Sussex Working Paper No. 4 (December).

“Interdisciplinary approaches to development: the ‘institutional turn’” pp.68-82 in *International Handbook of Development Economics [vol.1]* Amitava Dutt and Jaime Ros (eds.) Edward Elgar: Cheltenham, UK. 2008. [ version of UNWIDER, 2007 below ]

“Is an Alternative Globalization Possible?” *Politics & Society* 36(2)[June]: 271-305. 2008.

“Public Institutions, Social Movements and the Pursuit of Developmental Aspirations” [ “Instituciones públicas, movimientos sociales y aspiraciones al desarrollo”] introduction to *Instituciones y Desarrollo en la era de la Globalización Neoliberal [Institutions & Development in an era of Neo-liberal Globalization]* (Bogota, Colombia: ILSA, 2007)

“Extending the Institutional Turn: Property, Politics and Development Trajectories” 2007 in UNU-WIDER (World Institute for Development Economics Research) volume on *Institutions for Economic Development: Theory, History, and Contemporary Experiences*. Ha-Joon Chang (ed.) [also available as WIDER Research Paper No. 2006/113]

“Neo-Liberalism as a Political Opportunity: Constraint and Innovation in Contemporary Development Strategy,” 2005 pp. 195-215 in *Putting Development First: The Importance of Policy Space in the WTO and IFI’s*. Kevin Gallagher (ed.) London and New York: ZED books.

“Harnessing the State: Rebalancing Strategies for Monitoring and Motivation,” 2005. pp. 26-47 in *States And Development: Historical Antecedents Of Stagnation And Advance* Matthew Lange and Dietrich Rueschemeyer (eds.). New York: Palgrave MacMillan Ltd.

“The New Commons vs. The Second Enclosure Movement: Comments on an Emerging Agenda for Development Research,” 2005. *Studies in Comparative International Development*, vol.

40(2): 85-94 [ abbreviated version published as “The New Commons vs. The Second Enclosure Movement” in *In Focus* 6 (April, 2005) UNDP Poverty Center, Brasilia]

“Counter-hegemonic Globalization: Transnational Social Movements in the Contemporary Global Political Economy, ” 2005. pp. 655-670 in the *Handbook of Political Sociology* Thomas Janoski, Robert Alford, Alexander M. Hicks & Mildred Schwartz (eds.) Cambridge University Press. [reprinted in *The Globalization and Development Reader*. Roberts & Hite (eds.) (Oxford: Blackwells, 2007) and in *The Globalization Reader* 4<sup>th</sup> Edition edited by Frank Lechner & John Boli (John Wiley and Sons, 2011).

“The Role of Institutions in Economic Change” (with Ha-Joon Chang) 2005. pp. 99-140 in *Reimagining Growth: Institutions, Development, and Society*, Silvana de Paula and Gary Dymksi (eds.) London and New York: ZED Books. [Chinese translation published by High Education Press, Beijing, in the volume *The Other Canon of Economics: A Selection on Essays of Evolutionary Development Economics*, edited by Erik S. Reinert and Jia Genliang.]

“The State and the Economy” (with Fred Block). 2005. pp. 505-526 *Handbook of Economic Sociology*, [New Edition] Neil Smelser and Richard Swedberg (eds.) (Russell Sage Foundation and Princeton University Press.

“The Challenges of the ‘Institutional Turn’: New Interdisciplinary Opportunities in Development Theory,” 2005. pp. 90-116 in *The Economic Sociology of Capitalist Institutions*. Victor Nee and Richard Sweberg (eds.). Princeton University Press.

“The FTAA's Impact on Democratic Governance” (with Mark Barenberg). 2004. Pp. 755-789 in *Integrating the Americas: FTAA and Beyond*, edited by A. Estevadeordal, D. Rodrik, A. M. Taylor and A. Velasco. Cambridge, MA: Harvard University Press.

“Development as Institutional Change: The Pitfalls of Monocropping and Potentials of Deliberation,” 2004 *Studies in Comparative International Development*. 38(4) [Winter]: 30-53. [a preliminary Portuguese version of the argument presented in this article appeared as “Além da ‘Monocultura Institucional’: instituições, capacidades e desenvolvimento deliberativo” in *Sociologias* 5(9) [January-June, 2003]: 20-63]

“Building Bridges Across a Double-Divide: Alliances between U.S. and Latin American Labor and NGOs” (with Mark Anner) *Development in Practice*. 14(1-2)[2004]:34-47. Reprinted in D. Eade and A. Leather (eds.) *Development NGOs and Labor Unions: Terms of Engagement..* Bloomfield, CT: Kumarian Press, 2005.

“El Hibridismo como estrategia administrative: combinando la capacidad burocrática con los señales de mercado y la democracia deliberativa,” [“Hybridity as an Administrative Strategy: Combining Bureaucratic Capacity with Market Signals and Deliberative Democracy”] *Revista del CLAD: Reforma y Democracia* 25 [February, 2003]: 7-33.

“Economic Governance Institutions in a Global Political Economy: Implications for Developing Countries.” Pp. 288-307 in John Toye (ed.), *Trade and Development: Directions for the 21st Century*, Cheltenham UK, Edward Elgar, 2003.



“Collective Capabilities, Culture and Amartya Sen’s *Development as Freedom*,” *Studies in Comparative International Development*. 37(2)[summer, 2002]: 54-60

“Looking for Agents of Urban Livability in a Globalized Political Economy” and “Political Strategies for More Livable Cities:: Lessons from Six Cases of Development and Political Transition,” Introductory and concluding chapters for *Livable Cities? The Politics of Urban Livelihood and Sustainability*. (edited) (University of California Press, 2002) [see books above]

“Fighting Marginalization with Transnational Networks: Counter-Hegemonic Globalization” *Contemporary Sociology* 29(1):230-241 (January, 2000).

“Bureaucratic Structure and Bureaucratic performance in Less Developed Countries,” (with James Rauch) *Journal of Public Economics*.75: 49-62 (January, 2000).

“Sustainability, Degradation and Livelihood in Third World Cities: Possibilities for State-Society Synergy” pp. 42-63 in *The United Nations and the Global Environment in the 21st Century: From Common Challenges to Shared Responsibilities*, edited by Pamela S. Chasek. (Tokyo: UNU Press 2000).

“Bureaucracy and Growth: A Cross-National Analysis of the Effects of ‘Weberian’ State Structures on Economic Growth,” (with James Rauch) *American Sociological Review*, 64(5):748-765 (October, 1999)

“Transferable Lessons? Re-examining the Institutional Prerequisites of East Asian Economic Policies,” *Journal of Developmental Studies* 34(6):66-86 [August, 1998] [Reprinted in Yilmaz Akyüz (ed.) *East Asian Development: New Perspectives*. London: Frank Cass, 1999.]

“Alternativas al Estado Desarrollista: Lecciones de la crisis de Asia Oriental” *Nueva Sociedad* (155):142-156 (May-June, 1998) [Caracas, Venezuela]. [Article based on chapter 10 of *Embedded Autonomy*, (see books above)]

“Análise do Estado no Mundo Neoliberal: Uma Abordagem Institucional Comparativa” *Revista de Economia Contemporânea* [Published by the Instituto de Economia da Universidade Federal do Rio de Janeiro, Brazil], (4):51-83 (July-December, 1998).

“Re-envisioning the Reform Process: A State-Society Synergy Perspective,” in *Iberoamericana: Nordic Journal of Latin American and Caribbean Studies* [Published by the Institute of Latin American Studies, Stockholm University] 28(1-2) [1998]: 223-242.

“The State and Globalization,” *Swiss Political Science Review* 4(1):107-116 [Spring, 1998] [an abbreviated version of the argument presented in the *World Politics* article below]

“Transnational Corporations and Third World States: From the Old Internationalization to the New” pp. 195-224 in *Transnational Corporations and the World Economy*, Richard Kozul-Wright and Robert Rowthorn (eds). London: MacMillan, 1998.

"The Eclipse of the State? Reflections on Stateness in an Era of Globalization," *World Politics* 50 (October, 1997): 62-87. [Reprinted in *The New Political Economy of Globalization*. R. Higgott and Anthony Payne (eds.) Edward Elgar 2001]

"State Structures, Government-Business Relations and Economic Transformation" pp. 63-87 in *Business and the State in Developing Countries*, Ben Ross Schneider and Sylvia Maxfield (eds.) Ithaca, NY: Cornell University Press, 1997.

"Development Strategies across the Public-Private Divide" *World Development* 24(6) [June, 1996]: 1033-1037.

"Government Action, Social Capital and Development: Reviewing the Evidence on Synergy," *World Development* 24(6) [June, 1996]:1119-1132.

"Embedded Autonomy and Industrial Transformation," *Political Power and Social Theory* 10 :259-282 [1996].

"Reconstructing Agency in a Global Economy: Reflections on Embedded Autonomy," *Political Power and Social Theory* 10:333-345 [1996].

"The Role of Theory in Comparative Politics: A Symposium," (with Atul Kohli, Peter Katzenstein, Adam Przeworski, Susanne Rudolph, James Scott and Theda Skocpol) *World Politics* 48(1):1-49 [October, 1995].

"Building an Integrative Approach to International and Domestic Politics: Reflections and Projections", Concluding chapter in Evans, Jacobson and Putnam, 1993 [see books above]

"Greenhouses and Strategic Nationalism: A Comparative Analysis of Brazil's Informatics Policy" pp. 1-38 in Evans, Frischtak and Tigre (eds), 1992. [see books above]

"The Context of Sunbelt Industrialization", chapt.2 in Lamphere, Zavella and Gonzales, *Sunbelt Working Mothers: Reconciling Family and Factory*. Cornell University Press, 1993.

"The State as Problem and Solution: Predation, Embedded Autonomy and Adjustment" in Stephan Haggard and Robert Kaufman (eds.) *The Politics of Economic Adjustment: International Constraints, Distributive Politics, and the State*. Princeton, NJ: Princeton University Press, 1992. [article is an expanded, policy oriented version of the argument presented in *Sociological Forum*, 1989]. Reprinted as "O Estado como problema e solução." *Lua Nova: Revista de Cultura e Política*, n. 28/29, 1993. Reprinted as "El Estado como Problema y como Solucion" *Desarrollo Económico: Revista de Ciencias Sociales* [Buenos Aires] 35(140)[January -March, 1996]: 529-562. Same translation also reprinted by Instituto de Estudios Peruanos [Lima]. Reprinted in *Leading Issues in Economic Development* (7<sup>th</sup> Edition). Meier and Rauch (eds.) Oxford University Press, 2000. pp. 476-494.

"Indian Informatics in the Eighties: The Changing Character of State Involvement" *World Development* 20(1):1-18 [Winter, 1992].

"Declínio da Hegemonia e a Industrialização Afirmativa: Conflitos entre Brasil e Estados Unidos na Indústria de Computadores" *DADOS: Revista de Ciências Sociais* 33(2): 311-351 [1990] [translation of *International Organization*, 1989 article].

"Predatory, Developmental and Other Apparatuses: A Comparative Political Economy Perspective on the Third World State," *Sociological Forum*. 4(4):561-587 (December, 1989) Reprinted in *Comparative National Development: Society and Economy in the New Global Order*. A. Douglas Kincaid and Alejandro Portes (eds.) (Chapel Hill, NC: University of North Carolina Press, 1994).

"Depredadores, desarrollistas y otros aparatos estatales: Une perspectiva Comparativa político-económica sobre el Estado en el Tercer Mundo" pp. 133-173 in A. Portes and A.D. Kincaid (eds.) *Teorías del Desarrollo Nacional* San José, Costa Rica: Editorial Universitaria Centroamericana, 1991. [translation of article above]

"High Technology Industry in the Americas: Corporate Strategies and Government Policies" 41pp. Institute of the Americas: La Jolla, CA. (November, 1989).

"Beyond the Clones in Brazil and Korea: A Comparative Analysis of NIC strategies in the Computer Industry" (with P. Tigre) *World Development*. 17(11):1751-1768 (November, 1989).

"Brasil e Coreia: Para Além Dos Clones: Uma Análise Comparativa das Estratégias dos NICs na Indústria de Computadores," (with P. Tigre) *Novos Estudos CEBRAP* (24):110-130 (July, 1989). [translation of *World Development* article above by Otacílio Nunes].

"Paths to Participation in 'Hi-Tech' Industry: A Comparative Analysis of Computers in Brazil and Korea" (with P. Tigre) *Asian Perspective* 13(1): 5-35 (Spring-Summer, 1989).

"Estratégias de desenvolvimento de indústrias de alta tecnologia: análise comparativa da informática no Brasil e na Coreia do Sul" (with Paulo Tigre) *Revista Brasileira de Economia* 43(4):549-73 (Oct.-Dec. 1989d). [translation of Evans and Tigre, 1989 above]

"Declining Hegemony and Assertive Industrialization: U.S. Brazilian Conflict in the Computer Industry" *International Organization* 43(2): 207-238 (Spring, 1989).

"State Structure and State Policy: Implications of the Taiwanese Case for Newly Industrializing Countries" [with Chien-kuo Pang] pp. 3-30 in *Taiwan: A Newly Industrialized State*. M.H.H. Hsiao, W.Y. Chen and H.S. Chan (eds.), Taipei: National Taiwan University, 1989.

"Studying Development Since the Sixties: The Emergence of a New Comparative Political Economy" *Theory and Society* 17: 713-45 (1988) (co-authored with John D. Stephens) [this is a revised and condensed version of the argument presented in the *Handbook* chapter below].

"Development and the World Economy" Chapter 22 in *The Handbook of Sociology* Neil Smelser (ed.), Beverly Hills, CA: Sage Publications, 1988 (co-authored with John D. Stephens).

"The Role of Third World Perspectives in the Comparative Study of Development" Introduction to *Three Latin American Sociologists* by Joseph Kahl. New Brunswick, NJ: Transaction Press, 1988

"Class, State, and Dependence in East Asia: Some Lessons for Latin Americanists" pp. 203-226 in F. Deyo (ed.) *The Political Economy of the New Asian Industrialism* Cornell University Press, 1987.

"Dependency and the State in Recent Korean Development: Some Comparisons with Latin American NICs." pp.202-228 in *Dependency Issues in Korean Development: Comparative Perspectives*. Kyong Dong Kim (ed.) Seoul National University Press, 1987. (extension of article in Deyo (ed.) above).

"State, Capital and the Transformation of Dependence: The Brazilian Computer Case", *World Development*. 14(7):791-808 (1986).

"Stato, capitale e trasformazione dell dipendenza: il caso del computer in Brasile" pp. 61 - 74 in *Crescita e Competivita: strategie nazionali de Spagna, Brasile, e Corea. Laboratorio di Politica Industriale: Sezione di Ricerca Politica Industriale* No.1 Bologna, Italy) Maggio, 1987. (translation of *World Development* Article)

"Informática, a metamorfose da dependência" *Novos Estudos CEBRAP*. 15: 14-31 (July, 1986). [translation of *World Development* article above]

"A Generalized Linkage Approach to Recent Industrial Development in Brazil: The Case of the Petrochemical Industry 1967-1979" pp. 7-27 in Alexandro Foxley, Guillermo O'Donnell and Michael McPherson (eds.) *Development, Democracy and the Art of Trespassing: Essays in Honor of Albert Hirschman* University of Notre Dame Press, 1986

"Three Views of Regime Change and Party Organization in Brazil" Introduction to special issue of *Politics and Society* on Democratization in Brazil. 15(1): 1-22 (1986-87)

"Trends in the United States Manufacturing Industry and their possible implications for Latin American Industrialization: case studies of steel, electronics and petrochemicals", *Industry and Development* (14):47-98 (1985). (article summarizes findings of 1984 monograph).

"After Dependency: Recent Studies of Class, State and Industry", (Review essay) *Latin American Research Review* 20(2):149-60 (1985).

"Transnational Linkages and State Capacities: An analysis of Developing and Industrial Societies in the Post-World War II Period" in Evans, Rueschemeyer and Skocpol (eds.) *Bringing the State Back In*. (Cambridge University Press, 1985).

"The State and Economic Transformation: Towards an Analysis of the Conditions Underlying Effective Intervention" (with Deitrich Rueschemeyer) in Evans, Rueschemeyer and Skocpol, see above.

"Milagres Asiáticos: Mito Econômico Mal Analisado", *Economia em Perspectiva* (publicação do Conselho Regional de Economia de São Paulo) (8):6-7 (November, 1984).

"Staat, Binnenlands en Multinational Kapital in Brazilie", *Derde Wereld*. (Nijmegen, Netherlands) 1983, No. 3 pp. 73-104 (Dutch translation of 1983 chapter below).

"State, Local and Multinational Capital in Brazil: Prospects for the Stability of the Triple Alliance in the '80s" in pp. 139-169 reported in D. Tussie (ed.) *Latin America in the World Economy: New Perspectives* London: Gower and St. Martins, 1983.

"Reinventing the Bourgeoisie: State Entrepreneurship and Class Formation in Dependent Capitalist Development" *American Journal of Sociology* 88 (supplement): S210-S247, 1982.

"Foreign Investment and Dependent Development: Comparing Brazil and Mexico" pp. 111-168, in S. Hewlett and R. Weinert (eds.), *The Political Economy of Brazil and Mexico* (with Gary Gereffi) Philadelphia, PA: ISHI 1982.

"Transnational Corporations, Dependent Development, and State Policy in the Semi-Periphery: A Comparison of Brazil and Mexico" (with Gary Gereffi) in *Latin American Research Review* 16(3):31-64 (1981).

"Recent Research on Multinational Corporations," *Annual Review of Sociology*, Vol. 7, pp. 199-223, 1981.

"Collectivizing Capitalist Accumulation: The Social Base of the Development of Brazil's Petrochemical Complexes" in T. C. Bruneau and P. Faucher, *Authoritarian Capitalism: The Contemporary Economic and Political Development of Brazil*, CO: Westview Press, 1981.

"Inversion extranjera y desarrollo dependiente: una comparacion entre Brasil y Mexico" *Revista Mexicana de Sociologia* 42(1): 9-70 (1980) (with Gary Gereffi) (translation of Newlett and Weinert book chapter).

"The Political Economy of the Corporation" pp. 216-246 in Scott G. McNall (ed.), *Political Economy: A Critique of American Society*, Chicago: Scott Foresman, 1981 (with Steve Schneider).

"Dependence, Inequality and the Growth of the Tertiary: A Comparative Analysis of Less Developed Countries" (with Michael Timberlake) *American Sociological Review* 45:531-553 (August, 1980).

"Beyond Center and Periphery: A Comment on the Contribution of World System Approaches to the Study of the Third World," in *Sociological Inquiry*, Vol. 4, No. 49, pp. 15-19, [Dec, 1979].

"Shoes, OPIC and the Unquestioning Persuasion: An Analysis of Transnational Corporations and U.S.-Brazil Relations," in *Capitalism and the State in U.S.-Latin American Relations*, Richard Fagen, ed., pp. 302-336, Stanford University Press, 1979.

"Empresas Multinacionais e Relações Brasil-EUA" *Revista de Administração de Empresas*, Vol. 19, No. 3, pp. 35-50, July-September 1979, (translation of article in Fagen, 1979).

"The Revolution as Cataclysm and Coup: Political Transformation and Economic Development in Brazil and Mexico" (with Susan Eckstein) in *Comparative Studies in Sociology*, Vol. 1, pp. 129-157, R. Tomasson (ed.), Greenwich, CT: JAI Press, 1978.

"Multinationals, State-Owned Corporations and the Transformation of Imperialism" *Economic Development and Cultural Change*, Vol. 26, No. 1, (October), pp. 43-64, 1977.

"Direct Investment and Industrial Concentration," *The Journal of Development Studies*, Vol. 13, No. 4 (July), pp. 373-386, 1977.

"Um Delicado Equilíbrio: O Capital Internacional e o Local na Industrialização Brasileira" (with M. V. Queiroz) in Queiroz et al, *Multinacionais: Internacionalização e Crise* (Caderno CEBRAP 1977 (Portuguese translation by Queiroz of an analysis presented in Chapter 3 of 1979 book).

"Contradictions and Continuities in the Evolution of Brazilian Dependence" *Latin American Perspectives*, Vol. III, No. 2 (Spring) 1976.

"Foreign Investment and Industrial Transformation: A Brazilian Case Study" *Journal of Development Economics*, Vol. 4, No. 3, pp. 119-139, 1976.

"Multiple Hierarchies and Organizational Control" *Administration Science Quarterly* Vol. 20, No. 2 (June), pp. 250-259, 1975.

"Industrialization and Imperialism: Growth and Stagnation on the Periphery" *Berkeley Journal of Sociology*, Vol. XX, pp. 113-145, 1975.

"The Military, the Multinationals, and the Milagre: The Political Economy of the 'Brazilian Model' of Development", *Studies in Comparative International Development*, Vol. 9 No. 3, (November), pp. 26-45, 1974.

"The Latin American Entrepreneur: Style, Scale and Rationality" in S.M. Davis and L. Goodman (eds.), *Workers and Managers in Latin America*, pp. 195-202 (D.C. Heath and Co.), 1972.

"National Autonomy and Economic Development: Critical Perspectives on Multinational Corporations in Poor Countries" *International Organization*, Vol. 25, No. 3 (Summer) pp. 675-692, 1981 reprinted in Keohane, R. and Nye, J. (eds.), *Transnational Relations and World Politics* (Cambridge, MA: Harvard University Press, 1972). Published in Portuguese under the title "Autonomia Nacional e Desenvolvimento Economico" in the *Revista de Administracao Publica* (Fundacao Getulio Vargas, Sao Paulo Brazil) pp. 111-135, Vol. 9, No. 2 (April-June), 1975.

"Tanzania: The Revolution as Reconstruction", *The Harvard Review*, Vol. IV, No. 1 (Summer-Fall), 1966.

### **Book Reviews:**

*Grounding Globalization: Labour in the Age of Insecurity*. [Edward Webster, Rob Lambert and Andries Beziudenhout (Blackwell, 2008)] Newsletter of the Section on Labor and Labor Movements American Sociological Association (10):1 August, 2010.

*Bankrupt: Global Lawmaking and Systemic Financial Crisis* by Terence C. Halliday and Bruce G. Carruthers. Stanford, CA: Stanford University Press, 2009. Pp. xxvii+505. 115(6) [May]: 1913-14. *American Journal of Sociology* 2010.

*The "Movement of Movements" For Global Justice*, Donatella della Porta, Massimiliano Andretta, Lorenzo Mosca, and Herbert Reiter (University of Minnesota Press, 2006) pg 62-64 in *Contexts*, Vol. 6 , No. 3 [May,2007].

*The Clash of Civilizations and the Remaking of the World Order* by Samuel P. Huntington (New York: Simon and Schuster, 1996) in *Contemporary Sociology* 26(2) [November, 1997]:691-693

*State Power and Social Forces: Domination and Transformation in the Third World*. Edited by Joel S. Migdal, Atul Kohli, and Vivienne Shue (Cambridge and New York: Cambridge University Press, 1994). *Studies in Comparative International Development* 31(2)[Summer, 1996]:133-136.

Linda Weiss and John M. Hobson. *States and Economic Development: A Comparative Historical Analysis*. (Cambridge, England: Polity Press [Balckwells] 1995) in *American Political Science Review*

C. Colclough and J. Manor (eds.) *States or Markets: Neo-liberalism and the Development Policy Debate* (Oxford: Clarendon Press, 1991) and Michael Moran and Maurice Wright (eds.) *The Market and the State: Studies in Interdependence*. (New York: St. Martins Press, 1991). in *American Political Science Review* 87(2):518-19 [June, 1993].

Emanuel Adler, *The Power of Ideology: The Quest for Technological Autonomy in Brazil and Argentina* (University of California Press, 1987) in *American Political Science Review* 83(1):311-12 (1989)

Michael Barzelay, *The Politicized Market Economy: Alcohol in Brazil's Energy Strategy*, (University of California Press, 1986) in *The Journal of Economic Literature* 26:700-701 (June, 1988)

Krasner, Stephen, *Structural Conflict: The Third World Versus Global Liberalism*. (University of California Press, 1985) in *American Journal of Sociology* 93: 1277-78. (1987)

Carnoy, Martin, *The State and Political Theory* (Princeton, NJ: Princeton University Press, 1983) in *Economic Development and Cultural Change* 34(4): 868-9 (July, 1986).

Humphreys, John, *Capitalist Control and Workers Struggles in the Brazilian Auto Industry*. *Journal of Developing Areas*.

Newfarmer, Richard, *Transnational Conglomerates and the Economics of Dependent Development: A Case Study of the International Electrical Oligopoly in Brazil* (Greenwich, CT: JAI Press, 1980) in *Hispanic American Historical Review* 61: 585 (1981).

Hewlett, Sylvia, *Cruel Dilemmas of Development: Brazil in the Twentieth Century* (New York: Basic Books, 1980) in *Multinational Monitor* (1981).

Petras, James; Morley, Morris; and Smith, Steven, *The Nationalization of Venezuelan Oil* (New York: Praeger, 1977) in *Contemporary Sociology* 9:2:242, 1980.

Stepan, Alfred, *The State and Society: Peru in Comparative Perspective* (Princeton, NJ: Princeton University Press, 1978) in *Contemporary Sociology* 9:2:243, 1980.

Dowbor, Ladislau, *A Formação do Capitalismo Dependente no Brasil* (Lisbon: Prelo Editora Sarl, 1977) in *Latin American Perspectives* 7(1):87-88, 1980.

Gomes, Severo, *Tempo de Mudar* (Editora Globo, 1977) in *The Modern Language Journal*, Vol. 53, No. 4, pp. 220-221, April 1979.

Silvert, Kalman and Jutkowitz, Joel, *Education, Values and the Possibilities for Social Change in Chile* (Institute for the Study of Human Issues, Occasional Paper #1, 1976) *Sociology: Reviews of New Books*, Vol. 4 (May-June) pp. 91, 1977.

Kahl, Joseph, *Modernization, Exploitation and Dependency*, (Rutgers, NJ: Transaction Press, 1976) in *Social Forces*, 1977.

Smith, T. Lynn, *Brazilian Society*, (Albuquerque: University of New Mexico Press, 1974) *Journal of Modern Languages* 1976).

Georges-Ander Fiechter *Brazil Since 1964: Modernization Under a Military Regime* (New York: John Wiley and Sons, Inc., 1975) in *Sociology: Review of New Books*, Vol. 3, No. 5 (March) pp. 87-88, 1976.

O. Collins and D. Moore, *The Organization Makers* (New York: Appleton Century Crofts, 1970) *The American Journal of Sociology*, Vol. 77, No. 3 (November) pp. 617-619, 1971.



## **Other Publications:**

“Antônio Barros De Castro: Remembering an Economic Visionary” *Berkeley Review of Latin American Studies* (Fall 2011-Winter 2012): 18-24.

“Expanding human capabilities as a strategy of economic transformation: a 21st century agenda for the developmental state,” Chapt. 6 in *Rethinking South Africa’s Development Path: Reflections on the ANC’s Policy Conference Discussion Documents*. Edited by Dr Omano Edigheji [Centre for Policy Studies Johannesburg, South Africa] (June, 2007).

Foreword to *Labor in the New Urban Battlegrounds: Local Solidarity in a Global Economy*. Lowell Turner and Dan Cornfield (eds.) Cornell University Press, 2007.

“Organizational Reform and the Expansion of the South’s Voice at the Fund” (with Martha Finnemore) G-24 Discussion Paper Series (no. 15, December, 2001) [UNCTAD and Harvard University Center for International Development]

“Development and the State,” article for the *International Encyclopedia of the Social and Behavioral Sciences* (Pergamon/Elsevier, 2001)

"Environment and Industrial Renovation in Vietnam: A Report from Vinh Phu Province" [co-authored with Nghiem Ngoc Anh, Vu Manh Hai, Pham Viet Hung, Nguyen Thi Lam, Dara O'Rourke, Dao Minh Truong, and Martha Winnacker], Working Paper #4, Institute of International Studies, University of California, Berkeley. (November, 1995)

"Global Systems Analysis" entry in *The Encyclopedia of Sociology* Edgar F. Borgatta and Marie L. Borgatta (eds.) New York: Macmillan, 1992.

"Dependency" entry in the *Oxford Companion to Politics of the World*, Joel Krieger *et.al.*, eds., New York: Oxford University Press, 1992.

Preface for *Guerilha Tecnológica: A Verdadeira História da Política Nacional de Informática*. by Vera Dantas (Rio de Janeiro, Brazil: Livros Técnicos e Científicos Editora Ltda, 1988).

Preface for *Underdeveloped and Development: A Reader in the Sociology of Development*. Edited by Michael Hsin-Huang Michael Hsiao (Chiu-Lin Publishing Co. Taipei, Taiwan, 1985) [published in Chinese]

## **SELECTED PRESENTATIONS AND INVITED LECTURES (2004-2012 only):**

“National Terrains and Transnational Articulations in the Development of the Global Labor Movement” Presentation at Workshop on New Strategies For Building Transnational Labor Solidarity, Watson Institute for International Studies, Brown University, Nov 10, 2012

“Transnational Institution Building and Local Organization Capabilities: The Combined and Uneven Development of the Global Labor Movement.” Presentation at Conference on Transnational Strategies for supporting Collective Capabilities. Watson Institute for International Studies, Brown University, Oct 27, 2012

“Constructing Counter-hegemonic Politics: Movements, States, and the Art of Linking Levels” Presentation at the Annual Meeting of American Sociological Association, Denver, CO; August 19, 2012.

“Workers search for Utopia: Global Perspectives” Presentation at the Annual Meeting of American Sociological Association, Denver, CO; August 18, 2012

“A Classe Trabalhadora Chinesa e o Movimento Global dos Trabalhadores” [Chinese Workers and the Global Labor Movement] Lecture at the University of São Paulo, São Paulo, Brazil August 9, 2012

“In search of a great transformation: Weaving together a new historical subject” presentation at 2<sup>nd</sup> World Research Forum International Sociological Association, Buenos Aires, Argentina, August 1 2012

“Practice, organizational form and the production of alternative visions” presentation at 2<sup>nd</sup> World Research Forum International Sociological Association, Buenos Aires, Argentina, August 3, 2012

“Comparative Analysis of Strategies for Organizing Informal Workers”, Presentation at Research Seminar On Dispatch Workers And Contingent Work. Sun Yat Sen University, Guangzhou, China, May 18, 2012

Commentary on “Predatory States and State Transformation” by William Reno at Conference on State Transformations Center for European Studies, University of North Carolina, Chapel Hill, NC Feb 24, 2012

“Comparative Performance on Capability Expansion and its Implications for theories of State Building” Presentation at Conference on “State Building in the Developing World: Latin America,” University of São Paulo, São Paulo, Brazil, February 10, 2012

“The Korean experience and the 21st century transition to a Capability Enhancing Developmental State” presented at a conference organized by the Korea International Cooperation Agency (KOICA) in cooperation with UNRISD (UN Research Institute on Social Development), October 13-14, 2011 Seoul, Korea.

“The 21st Century Developmental State: Effectiveness, Efficiency and Democracy” Paper presented at the 20th Anniversary Conference – International Development Department, London School of Economics and Politics, London, England. September 8, 2011

“Neo-Polanyian Optimism as a Perspective on the Possibility of Counter-Hegemonic Globalization” Paper presented at Department of Sociology Colloquium, UCLA. February 24, 2011.

“The Neoliberal Era: Ideology, Policy, and Social Effects” (with William H. Sewell, Jr.) paper presented at conference on “The Transformation of the Global Economic Order” organized by Chicago Center for Contemporary Theory December 3-5, 2010.

“Understanding the Neoliberal Era: Origins and Consequences.” Colloquium, University of Pittsburgh, Pittsburgh, Pennsylvania. October 22, 2010.

Presentation on “Does globalization constrain or empower the next left?” Conference on “The Next Left: Globalized Social Democracy in the North and South,” Watson Institute for International Studies, Brown University, Sept 29th-30<sup>th</sup>, 2010.

“Deliberative Institutions and Effective State Action,” paper presented at Conference on Deliberation organized by Communication For Governance And Accountability Program (CommGAP) The World Bank, Washington, DC November, 12, 2010.

“Democratizing Global Governance” paper presented in session on Democratizing Global Governance at the XVII International Sociological Association World Congress Of Sociology, Gothenburg, Sweden. 14 July 2010.

“*O Estado Do Século XX Enfrentando Os Desafios Do Século XXI: Uma Perspectiva Global*” Keynote Presentation International Seminar INCT-PPED *Promovendo Respostas Estratégicas À Globalização [Promoting Strategic Responses to Globalization]* Rio de Janeiro, Brazil, November 3, 2009.

“The Global Labor Movement: From Political Dinosaur to Central Strand in Braided Mobilizations” for presentation in session on “The Emergence of Global Community” at the Annual meetings of the American Sociological Association. San Francisco, August 8, 2009.

Panel Discussant Session on “From Rhodesia to Beijing: Reflecting on the Scholarship of Giovanni Arrighi” Annual meeting of the American Sociological Association, San Francisco, CA August 8, 2009

“Trees, Rhizomes, and Campaigns: What Structure for Global Labor? Presented at Institute for Research on Labor and Employment, UC Berkeley April 27, 2009 and at the Institute for Research on Labor and Employment, UCLA, May 7, 2009

“Trees, Rhizomes, and Campaigns: the Emerging Structure of the Global Labor Movement.” Presented at Department of Political Science seminar series University of Oregon, April 17, 2009

Panel Discussant on “Comparative and Analytic Lessons & Future Agendas” Urban Conference on Democracy & Governance in the Global South, Princeton Institute for International and Regional Studies, Princeton University, Nov. 8, 2008

Keynote address entitled “Constructing a 21st Century Developmental State: Pitfalls and Potentialities” International Policy Dialogue Conference on “The Potentials for and Challenges of Constructing a Democratic Developmental State in South Africa” [Organized by Human Sciences Research Council (HSRC) & the Development Bank of Southern Africa (DBSA) in partnership with the Department of Science and Technology] Magaliesberg, South Africa June 4, 2008.

Policy Briefing on “21st Century Developmental State” with Policy Analysis Unit, Policy Coordination and Advisory Services (PCAS), The Presidency, Pretoria, South Africa. June 6, 2008.

Special Presentation to the African Programme on Rethinking Development Economics on “Contemporary Development Theory and the Developmental State” Stellenbosch, Western Cape, South Africa, June 2, 2008. [ This is a special program for young development economists from Africa, Asia and Latin American, designed to introduce them to recent thinking in development economics.]

Presentation on “The 21st Century Developmental State as an agent of Capability Expansion” for conference on “Democracy and the Developmental State: Possibilities and Limitations in the 21st Century” Sponsored by COPAC [Cooperative and Policy Alternative Center] and the Rosa Luxemburg Foundation, Johannesburg, South Africa, May 25, 2008.

Public discussion with Dr. Thomas Isaac [Minister of Finance of the state of Kerala, India] on “The Kerala Model of Development and the People’s Campaign for Decentralization: Lessons for South Africa” sponsored by the Harold Wolpe Memorial Trust and the Amandla Alternatives Forum series, University of Capetown, South Africa, June 1, 2008.

Presentation on “From Situations of Dependency to Globalized Social Democracy: 40 Years of a ‘Bias for Hope’” for Conference on International Inequality, Then And Now: Revisiting Cardoso and Faletto’s *Dependency And Development In Latin America*, Watson Institute for International Studies, Brown University, April 4, 2008

“Is it Possible to Hijack Global Economic Governance Institutions for Progressive Agendas?” Lecture in Princeton Institute for International and Regional Studies (PIIRS) series, Princeton University, December 12, 2007.

"Translating the Capability Approach into a Development Strategy: Challenges and Opportunities," Presentation in the colloquium series of the Center for Migration and Development, Princeton University, November 9, 2007.

“The capability approach as an orientation for development,” Paper presented at International Seminar on “Development: Updating concepts, assessing practices” Organized by the Centro de Estudos sobre Desigualdade e Desenvolvimento (CEDE), Faculdade de Economia da Universidade Federal Fluminense, Niteroi, Brazil. November 5, 2007.

Presentation on “Moving Beyond the Development State”, Ford Foundation World Wide Meeting on Governance and Civil Society, Sao Paulo, Brazil October 29-November 2, 2007

“Is it Labor’s Turn to Globalize? 21st Century Challenges and Opportunities” Lecture in Princeton Project on Democracy and Development series, Princeton University, October 24, 2007.

“Can Counter-Hegemonic Globalization save us from Neo-liberalism?” Presentation to the *Politics & Society* Mini-Conference on “Strategic Dilemmas in Getting to Another World” Annual Meeting of the American Sociological Association, New York, New York, August 9, 2007.

Presentation on “State Capacity and Promoting Alternative Development,” conference on “Alternative Development and Sufficiency Economy” organized by National Institute of Development Administration (NIDA). Bangkok, Thailand. July 12, 2007

“Is 'Liveable Metropolis' an Oxymoron in the Global South? Speculations on 21st Century Urban Trajectories,” Lecture the Spatial Structures in Social Science [S4] Program and the Department of Sociology, Brown University, May 14, 2007

“Counter-Hegemonic Globalization: From Ungoverned Risk Toward The Expansion Of Human Capabilities,” Lecture sponsored by the program on Development Studies, Brown University, May 14, 2007

“What will the 21st Century Developmental State Look Like? Implications of Contemporary Development Theory for the State’s Role,” Keynote paper presented at conference on “The Role Of Government in Hong Kong” (organized by Central Policy Unit of HKSAR Government, Public Policy Research Centre of The Chinese University of Hong Kong and the Hong Kong Sociological Association) Hong Kong [SAR, China] November 3, 2006.

“Culture, Institutions and Capabilities: Health and Development in Poor Countries” presented at Session on “Social Divides: Inclusion, Institutions, and Successful Societies” Annual meetings of American Sociological Association. Montreal, Canada August 11, 2006

“Counter-Hegemonic Globalization and Development Strategy” Presentation at Seminar organized by the Center for Policy Studies, Johannesburg, South Africa. August 3, 2006.

“Envisioning a 21st Century Developmental State” Presentation in Harold Wolpe Seminar series, Johannesburg, South Africa. August 2, 2006.

"Building a 21st Century Developmental State: Internal Structure, State-Society Relations & Organizational Culture" Seminar Presentation to Policy Coordination and Advisory Services, Office of the President, Pretoria, South Africa, August 2, 2006

"Institutional Approaches to Development: What next after Acemoglu and Sen?" presented in research seminar series hosted jointly by the Development Research Group and the Social

Development Department, World Bank. March 30, 2006 [modified version delivered to Department of Sociology, Johns Hopkins University, March 29,2006]

“Late 20<sup>th</sup> Century Industrialization and Changing Employment Structures in the Global South” presented at conference on “Creating Wealth and Poverty in Contemporary China,” Yale University. January 8, 2006

"Labor Protest in the Global South at the Turn of the Millennium" Presentation (with Anna Wetterberg) at Mini-Conference on Global Labor American Sociological Association Meeting, Philadelphia, August 12, 2005 Peter Evans (UC-Berkeley)

“Closing Reflections: New Challenges In State Building” Conference Organized by the Institute of Development Studies, University of Sussex at Goodenough College, London” June 21,2005

George Eastman Lectures Series, 2004-2005

I. Expanding Capabilities & Accumulating Capital: Synergies and Contradictions. (May 10,2005)

II. Capability Politics in an era of Bit-Driven Growth. (May 17,2005)

III. Global Governance, Counter-Hegemonic Globalization, and Local Contestation: Possibilities for Progressive Change. (May 24,2005)

Oxford University, sponsored by Balliol College and Queen Elizabeth House

[ Revised version of third lecture delivered to at London School of Economics and Politics, May 30, 2005]

“Extending the ‘Institutional’ Turn: Analyzing Diversity and Dynamics in Development Institutions,” Paper Prepared for UNU-WIDER Project on Institutions for Economic Development: Theory, History, and Contemporary Experiences [ preliminary version presented at meeting in Helsinki, Finland April 18,2005]

“The ‘institutional’ turn: new multi-disciplinary approaches to development,” Presented in inaugural lecture series, Centre for Advanced Studies in the Social Sciences, Oxford University, February 28, 2005.

“The Challenges of Being a World-Class Public University: A Berkeley-Oxford Comparison” Presentation to the Ashmolean Club, New College, Oxford University February 11, 2005.

“The Romance with Manufacturing in Brazil and its Implications for Development Strategy,” Seminar Sponsored by the Centre for Brazilian Studies, Oxford University, November 23, 2004

"Seeing Past Neo-Liberalism: Opportunities for Policy Innovation in the Global South." Lecture in the series on “Making Globalization Work for Developing Countries” sponsored by the Program on Global Economic Governance, Oxford University. November 12, 2004

“Autonomia e Parceria: Reflexões Sobre O Problema Da Governabilidade No Novo Milênio” Presentation at the Escola Nacional de Administração Federal (ENAP) [National School for Federal Public Administration] Brasília July 27,2004.

**PROFESSIONAL SERVICE: (2006-2012) [selected examples only pre-2004])**

Coordinator, Southwest Regional Network, Scholars Strategy Network [see [www.scholarsstrategynetwork.org](http://www.scholarsstrategynetwork.org)] 2011 -

Secretary and Program Coordinator, Research Committee on Labor Movements, International Sociological Association, 2011-2014.

Member, Effective States and Inclusive Development Research Centre-Consortium Advisory Group Institute for Policy Development and Management. Brooks World Poverty Institute, The University of Manchester, Manchester, England. 2011-

Organizer, Workshop on New Strategies For Building Transnational Labor Solidarity, Watson Institute for International Studies, Brown University, Nov 10, 2012

Organizer, workshop on “Transnational Strategies for supporting Collective Capabilities. Watson Institute for International Studies, Brown University, Oct 27, 2012

Organizer: workshop and public event entitled “Strengthening Transnational Civil Society: How can Information and Communications Technology and Networking Strategies Help?” at Watson Institute for International Studies, Brown University for November 7, 2011

Co-organizer, conference on “Transnational Networks expanding Collective Capabilities” sponsored by the Democratizing Global Economic Governance Project, *Dejusticia* Centro De Estudios De Derecho Justicia y Sociedad (Center For The Study Of Law, Justice And Society) in Bogota Colombia, August 12, 2011.

Chair, Nominating Committee, Section on Global and Transnational Sociology, American Sociological Association. 2010-2011

Member, Advisory Council, Program in Latin American Studies, Princeton University, 2007

Member of Board, United Nations Research Institute for Social Development (UNRISD), 2007-

Chair, Labor and Labor Movements Section, American Sociological Association 2005-2006

Co-Organizer, Mini-Conference on Global Labor, ASA Annual Meeting, August 2005

Member, MINDS (Multidisciplinary Inter-institutional Network on Development and Strategies) [Ford Foundation sponsored, Brazilian initiative see <http://www.minds.org.br/index.php>] 2005-

Organizer, 5<sup>th</sup> MINDS Workshop – “Can Global Governance Promote Development? Emerging Issues for North-South Dialog” and Panelist, opening symposium on “Making Global Economic Governance Work for the Global South.” Center for Latin American Studies, UC Berkeley, May 4-6th 2006.

Organizer, Session on “Transnational Organising” meeting of the International Sociological Association, Durban, South Africa, July 26, 2006

Member, Advisory Board, Center for Labor Research and Education (CLRE), Institute of Industrial Relations, University of California, Berkeley 2004 -

Chair, Political Sociology Section, American Sociological Association 2002-2003.

Member, Editorial Board, *Contemporary Sociology* 2005 – 2008

Member, Advisor Group, Center for Global Development (Washington, DC) 2003 –

Member, Advisory Council, Polson Institute for Global Development(Cornell University) 2002 –

Member of the Board of Editors – *Rose Monograph Series of the American Sociological Association* 2003 -

Editorial Board, *Studies in Comparative International Development* 2000 –

Editorial Board, *Journal of East Asian Studies* 2000 –

Board Member, *Political Power and Social Change: Studies in Political Sociology*, 1997- 2004

Member, Editorial Board, *Journal of Development Studies* 1995 -

Associate Editor, *Journal of World System Research*. 1994 -

Editorial Board, *Political Power and Social Theory* 1990 –

Organizer and Chair of Session on “Transnational Organizing” for the program of the Research Committee on Labor Movements [RC44] at the XVI World Congress of the International Sociological Association, Durban, South Africa, July 2006

Organizer and Chair of Session on Thematic Session on “Can Transnational Labor Mobilization Change Globalization?” Annual Meeting of the American Sociological Association San Francisco, California. August 14-17, 2004

Panelist, Special Session: “Between the Global and the Transnational: Movements, Migration, Crime and Governance” ASA Annual Meeting, Atlanta, August 17, 2003

Presider and Discussant, Session on "State Development and the Contemporary State" American Sociological Association Annual Meeting, Atlanta, August 16, 2003.

Presenter and Discussant -- Staff seminar on possibilities of industrial policy in a globalized political economy to IPEA (Instituto de Pesquisa Econômica Aplicada), Ministry of Planning, Brasília, Brazil. July 11, 2003

Moderator Seminar Organized by UNRISD (United Nations Research Institute for Social Development) on “Regulating Global Institutions: Financial, Corporate and Non-Governmental



Organizations: Session 2: Transnational Corporations,” World Social Forum, Porto Alegre, Brazil February 4, 2002.

Participant “Workshop on Experiments in democratic decentralization: Brazil, South Africa, India” (Sponsored by MacArthur Foundation) December 17-20<sup>th</sup> 2001, Porto Alegre, Brazil.

Taught short graduate seminar on “Problems of Governance in a Global Political Economy” (O Problema da Governabilidade numa Economia Política Global). Instituto de Economia, Federal University of Rio de Janeiro, Rio de Janeiro, Brazil. June 18-29, 2001

Participant in Roundtable on “Democracy, Development, and Trade Unions” at Conference on “Development, Democracy, and Workers Rights” Washington, DC. Sponsored by Washington College of Law/American University and the American Federation of Labor and Congress of Industrial Organizations (AFL-CIO) February 23-24, 2001

Commentator International Conference on "Civil Society and Governance", Organized by Institute of Development Studies, Sussex, sponsored by Ford Foundation, Amsterdam, Netherlands, 24-28 September, 2000

Director of Working Group on Social Capital and Economic Development, "Project on Social Capital and Public Affairs" [an American Academy of Arts and Sciences project] 1994 -1998.

Advisor to *World Development Report 1997: The State in a Changing World* in preparation by the World Bank. (June, 1996 - April, 1997)

Organizer and leader of a five day workshop on "Social Development in the Context of Market Reform: Civil Society, Public Institutions and Policy Choices," organized in collaboration with the Institute for Economic Research of Ho Chi Minh City, June 17-21, 1996, Ho Chi Minh City, Vietnam.

Consultant to the Social Policy and Resettlement Division [ENVSP] of the World Bank at experts workshop on "Decentralization and Local Institutions" June 9-10, 1994, Washington, DC.

Editorial Board, the *American Sociological Review* 1992 - 1994

Co-chair, "Working Group on States and Social Structures", Russell Sage Foundation 1990-1996

Editorial Committee, the *Annual Review of Sociology* 1989 - 1993

Treasurer, Latin American Studies Association, 1988 - 1991

Executive Committee, Latin American Studies Association, 1988 - 1991

Editorial Board, *Research in Political Sociology* 1988-

Chair, Political Economy of the World System section, American Sociological Association, 1984-1985.

Co-Chair, Research Planning Committee on States and Social Structures, Social Science Research Council, 1983 - 1990

Executive Committee, Research Group on Economy and Society, International Sociological Association, 1982 - 1986

Editorial Board, *Latin American Research Review*, 1982-1986

Editorial Board, *Politics and Society*, 1981 - 1989.

Visiting Professor/Consultant, Instituto Universitário dos Açores, Ponto Degada, Azores, Summer, 1976.

### **Work in Progress**

Organiz conference entitled “Transnational Linkages Networks and Institution Building as Strategies for supporting Collective Capabilities” at Watson Institute for International Studies, Brown University for Fall Semester, 2012.

“The Korean experience and the 21st century transition to a Capability Enhancing Developmental State” to be presented at a conference organized by the Korea International Cooperation Agency (KOICA) in cooperation with UNRISD (UN Research Institute on Social Development), October 13-14, Seoul, Korea.

“Deliberative Institutions and Effective State Action,” paper forthcoming in volume on Deliberation edited by Vijayendra Rao and Patrick Heller.

Chapter on “The Developmental State: Divergent Responses to Modern Economic Theory and the 21st Century Economy” for volume on *Democracy and the Developmental State: Possibilities and Limitations in the 21st Century*. C.K. Lee and Michelle Williams (eds.) [ under review at a major university press]

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