

Explaining Social Inclusion Policies (SIPs)

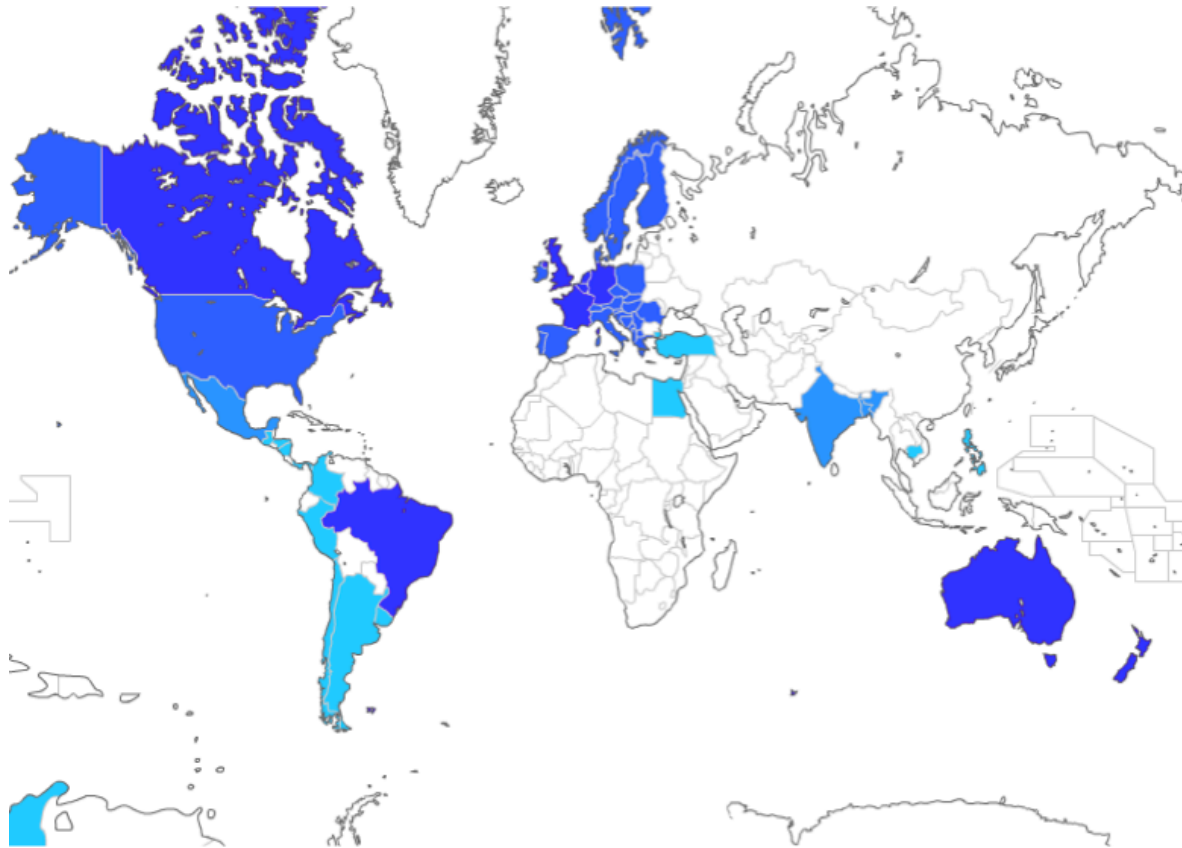
Emergence Theory
and the Case of
Brazil (1988-2013)

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Research Puzzle

Given the global need for development, what causes the rise of Social Inclusion Policies (SIPs) in different contexts?



Why are SIPs Important?

- Positive Impact on Social & International Development:
 -  Violence
 -  Social well-being
 - Good for Democracy

Social Welfare Policies vs. Social Inclusion Policies

Problem	Poor Population	Excluded population
Goals	Protection Provision Sustenance	Access Participation Inclusion
Methods	Cash Transfer Pensions Infrastructure	Conditional Cash Transfer Employment Policy School Quota System

Research Question

- What explains the rise of SIPs in Brazil at this point in time?
 - Purpose Statement:
 - Describe
 - Theorize
 - Create a new framework

Intellectual Context

- Literature on SIPs focuses on *Effects*
 - SIPs *effects* on development
 - No explanation of their *causes*
- Simple, Linear & Static Theories
 - Individual decisions
 - Linear relationships
 - Static in time
- Emergence Theory: *Causation* in Complexity Theory
 - Dynamic & Non-linear Framework
 - Multi-causal mechanism

The Argument

- SIPs “Emerge” through the *interaction* of multiple elements over an extended period of time.
 - Multiple causes and players vs. just a set of decisions by individual actors
 - Historical perspective
 - 2 key variables:
 - Social Mobilization
 - International Pressure

Significance: So What?

Theoretical

- Contribution to complexity theory through an Emergence Model to study development
- International factor into domestic social policy-making
- Debate on applying theories from DCs to LDCs

Practical

- Positive Impact of SIPs on Equality and Development
- Understanding *causation*: crucial to bring SIPs across contexts
- Need for Development in LDCs

Research Design

- Methods:
 - Single Case-Study Approach: Brazil 1988-2013
 - Mixed-Methods Approach: quantitative & qualitative
 - Process Tracing, Path Dependency, Counterfactual analysis, Textual Analysis
- Sources:
 - Primary: Interviews, Newspapers, Government Docs.
 - Secondary: Journal Articles, Books.

The Variables

Background Variables

- Democratization
- Economic Growth
- Left-Inclined Government

Explanatory Variables

- Social Mobilization
- International Pressure:
 - *Outside-In*
 - *Inside-Out*

Social *Welfare* Policies

Social *Inclusion* Policies



Ronald Scott's Complex Adaptive Political System Framework

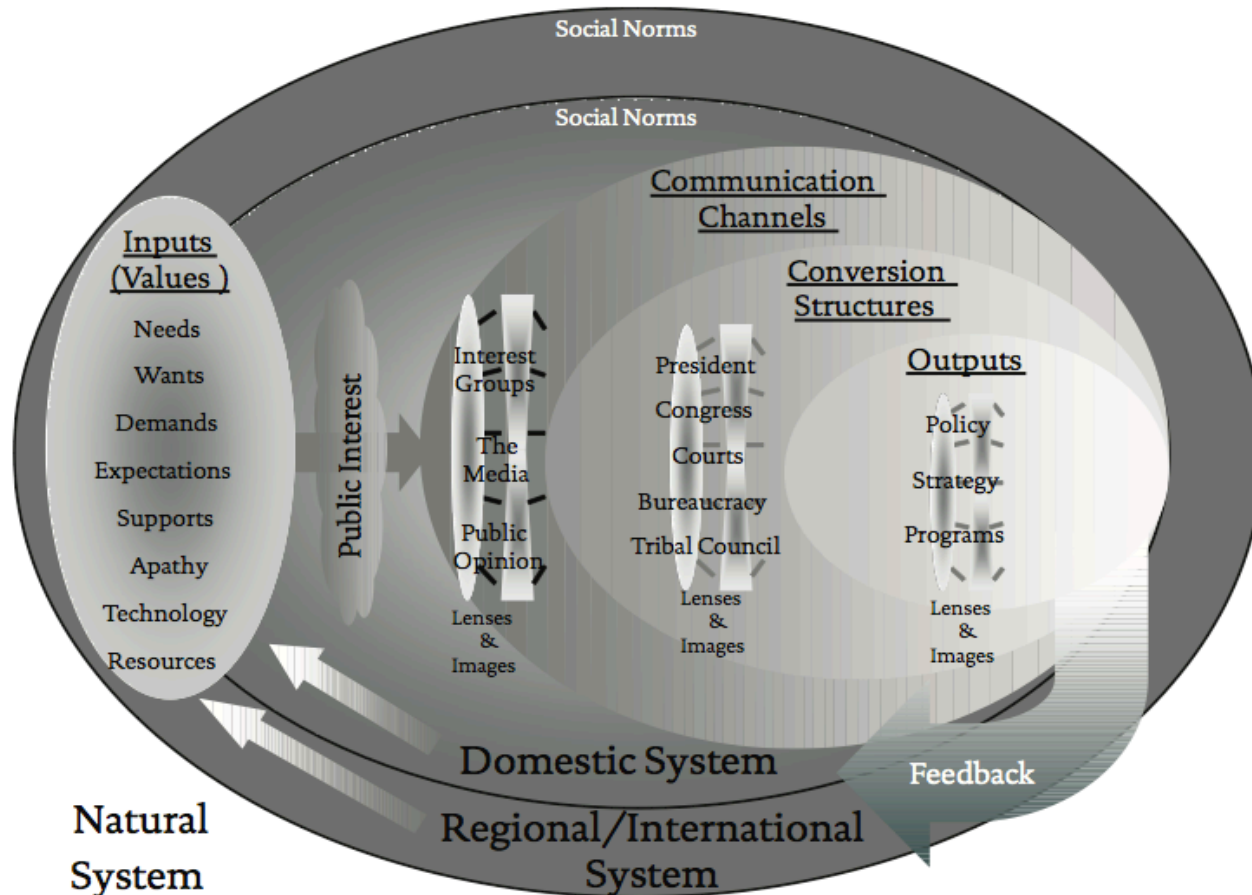
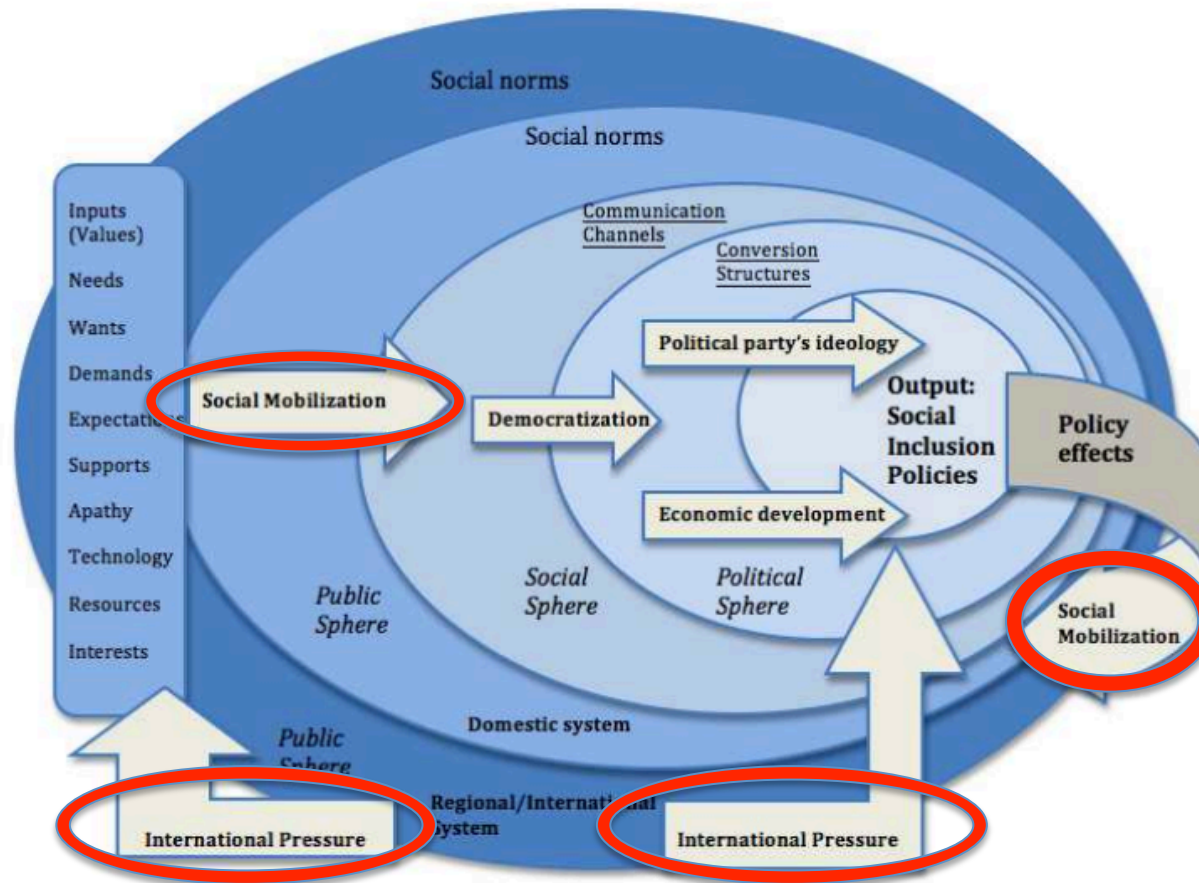


Figure 3 Complex Adaptive Political System Model

My Complex Adaptive Emergence System (CASE) Model

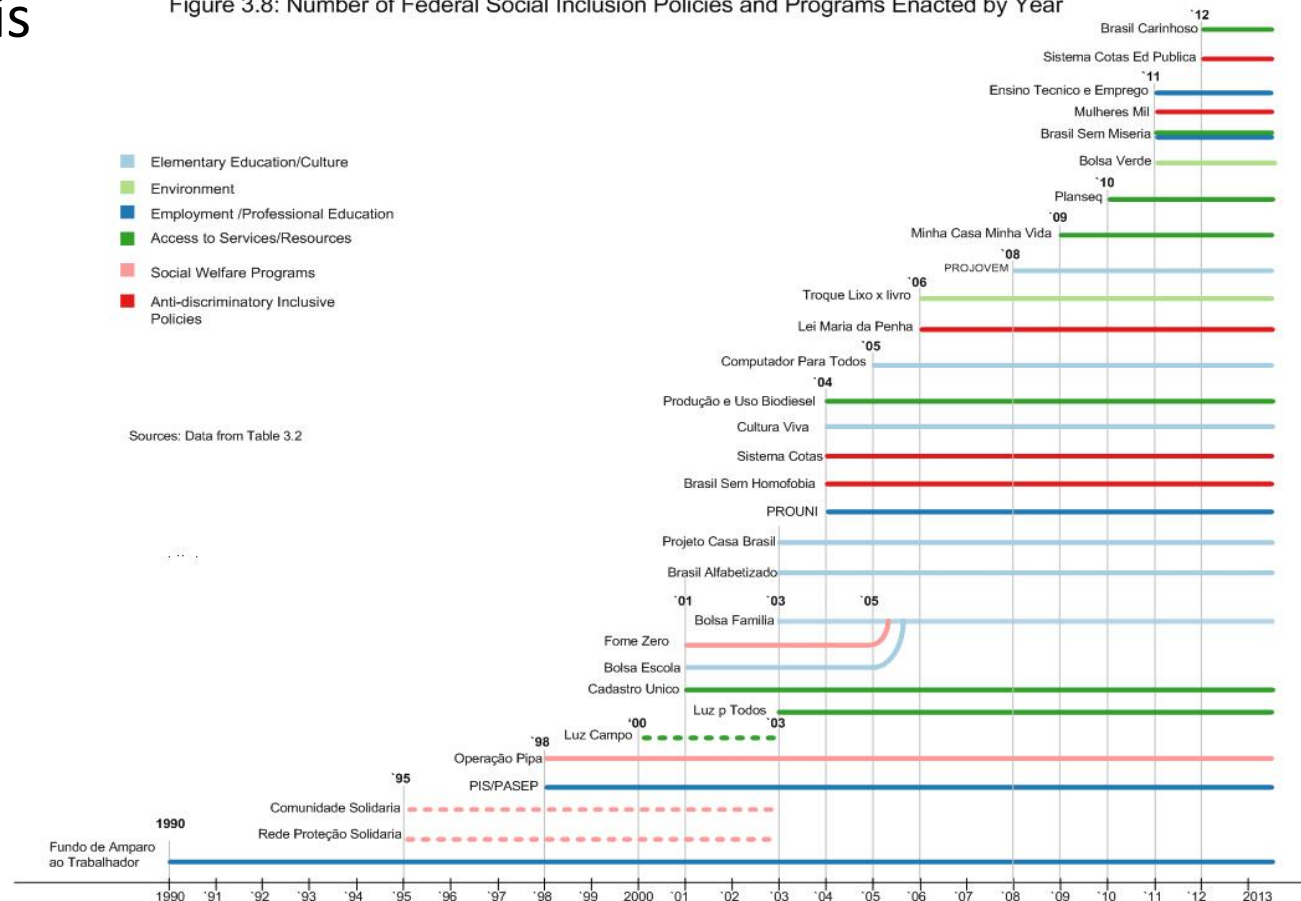


Based on Ronald J. Scott's Complex Adaptive Political System Framework in "The Science of Muddling Through Revisited," 2011.

Finding I: SIPs are a Multi-Causal Process

- Historical Analysis
- Feedback-loops
- Multiple Causes

Figure 3.8: Number of Federal Social Inclusion Policies and Programs Enacted by Year



Finding II: Social Mobilization *is* a Cause

- SIPs originate in academic contexts
- Correlation: education, technology & SIPs
- Legislative Chamber & Aldermen



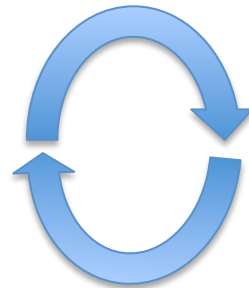
Finding III: International Pressure *is* a Cause

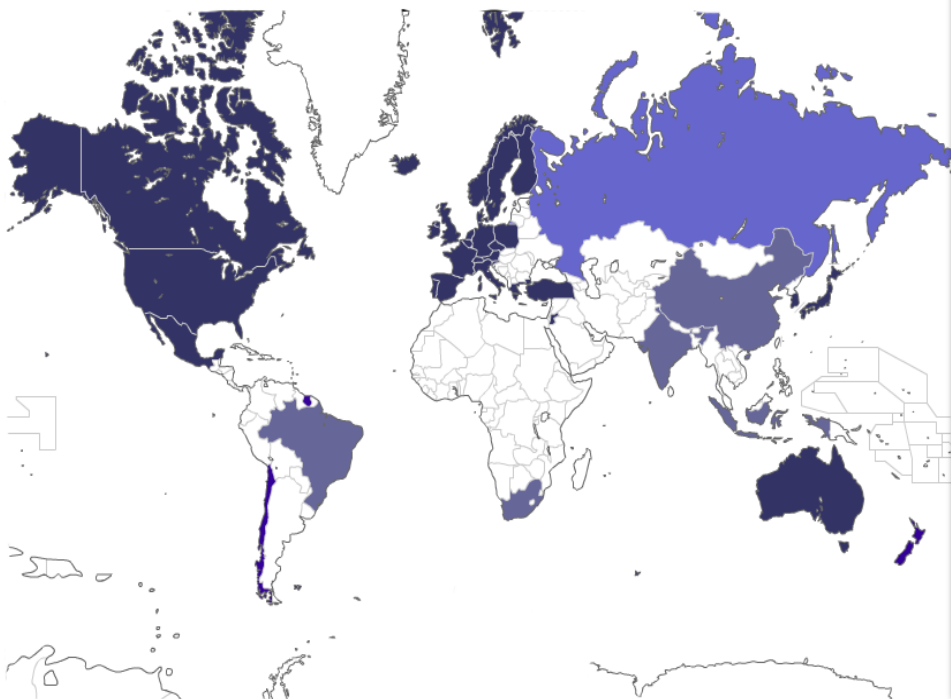
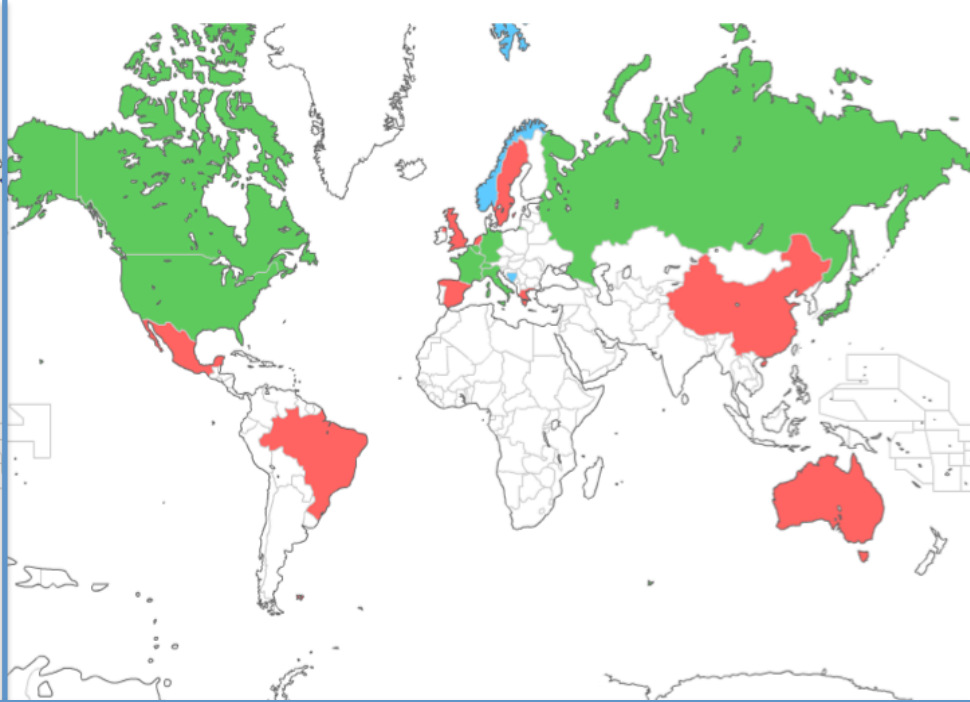
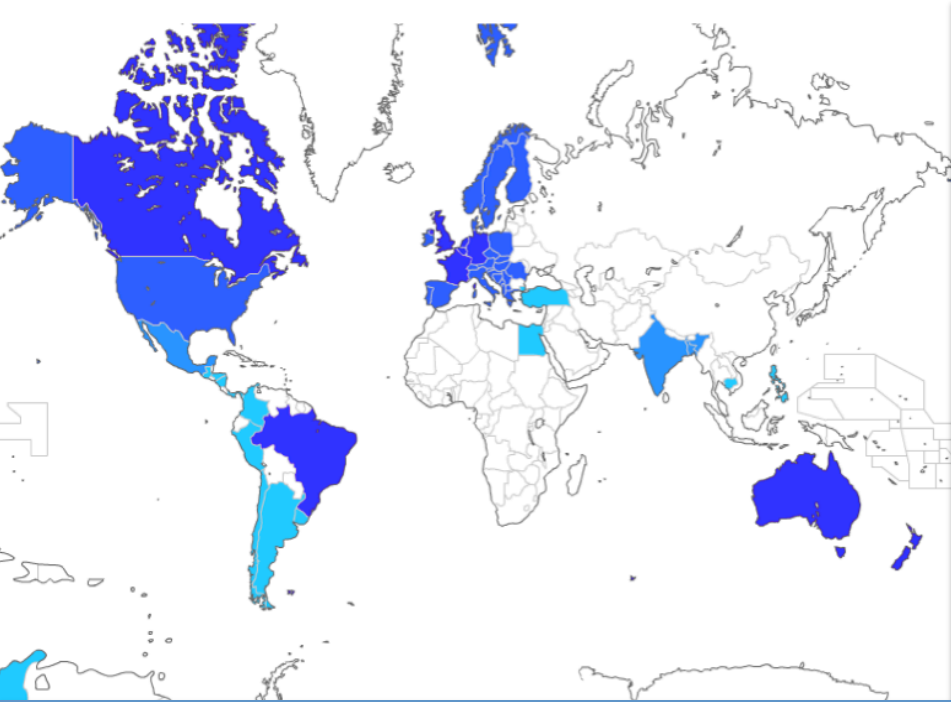
Outside-In Pressure

- Effective in changing domestic social-policy
- MDGs
- International Accountability

Inside-Out Pressure

- Effective on rising powers
- Good social indicators are necessary for development
- Selectivity of SIPs in Favelas





Left-Up: SIPs

Right-Up: Olympics

Left-Down: OECD

Implications I

- Theory:
 - Validity of Studying Policy-making through:
 - Causes
 - Processes
- Methods
 - Utility of Emergence Theory
- Policy:
 - Policy-makers must listen to society

Implications II: SIPs and International Relations

- Thin line between domestic & foreign policy
- International pressure (MDGs, Sport events)
- New tools of negotiation
- Reassessing conventional IR theories

Future Research



- Is my model useful for other cases? Comparative Case-Study?
- Exploring causal chains between the background & the explanatory variables
- How can we understand development through this new framework?
- Austerity: an alternative strategy?

