

RICHARD M. LOCKE
PROVOST
BROWN UNIVERSITY
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EDUCATION

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| Massachusetts Institute of Technology Doctorate in Political Science Thesis: <i>Local Politics and Industrial Adjustment: The Political Economy of Italy in the 1980s</i> | Ph.D. | February 1989 |
| University of Chicago Master of Arts in Education | M.A. | June 1990 |
| Università Degli Studi di Milano Visiting student | | 1986-1987 |
| Wesleyan University Bachelor of Arts, College of Letters | B.A. | June 1981 |

ACADEMIC APPOINTMENTS

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| Brown University Provost Schreiber Family Professor of Political Science and International and Public Affairs Professor of Political Science and International and Public Affairs Howard R. Swearer Director of the Thomas J. Watson Institute for International and Public Affairs | | July 2015-present January 2018-present July 2013-present July 2013-January 2016 |
| Massachusetts Institute of Technology Class of 1922 Professor of Political Science and Management Full Professor of Management and Political Science Alvin J. Siteman (1948) Professor of Entrepreneurship Tenured Associate Professor of Management and Political Science Untenured Associate Professor of Management and Political Science I.R.I. Career Development Assistant Professor of International Management Assistant Professor of International Management | | 2010-2013 2001-2013 2000-2010 1996-2001 1993-1996 1989-1993 1988-1989 |

ADMINISTRATIVE APPOINTMENTS

Provost, Brown University

July 2015-present

- Chief academic officer and chief budget officer responsible for ensuring academic excellence and sound budgetary practices across the full range of academic departments, including the College, Graduate School, Warren Alpert Medical School, and Schools of Engineering, Public Health, and Professional Studies.
- Direct reports include Dean of the Faculty, Dean of the College, Dean of the Graduate School, Dean of the School of Public Health, Dean of Medicine and Biological Sciences and Senior Vice President for Health Affairs, Dean of the School of Engineering, Dean of the School for Professional Studies, Vice President for Research, University Librarian, Chief Digital Officer and Chief Information Officer, Dean of Admission, Dean of Financial Aid, and numerous directors of academic centers, institutes and initiatives.
- Chair Academic Priorities Committee (APC), which provides oversight of academic programs and advises on strategic priorities; University Resources Committee (URC), which is responsible for developing and recommending to the President the annual capital and operating budget; and Capital Planning and Space Committees.
- Led development and oversee implementation of operational plan for University's strategic plan, *Building on Distinction*.
- Actively involved in fundraising for the University's \$3 Billion comprehensive campaign, *Brown Together*.
- Led the development and oversee implementation of *Pathways to Diversity and Inclusion Action Plan*, Brown's plan for achieving a more fully diverse and inclusive community.
- Oversaw planning and launch of the Brown Arts Initiative; Data Science Initiative; Native American and Indigenous Studies Initiative; and Nelson Center for Entrepreneurship.
- Developed new effective, efficient and engaged budget process for the University.
- Developed new metrics to assess performance of all academic departments.
- Led strategic review and realignment of the Annenberg Institute for School Reform.
- Led organizational review and restructuring of School for Professional Studies.
- Launched a number of initiatives and lecture series to advance goals and promote intellectual community, including How Structural Racism Works (with Center for the Study of Race and Ethnicity in America); Reaffirming University Values: Campus Dialogue and Discourse (with the Office of the President); By Faculty for Faculty; Staff Summer Readings; Faculty in Focus: Staff Lecture Series; and Brown in the Innovation Economy project.
- Lead Government Relations Working Group to develop and guide the University's federal, state and local government relations, ensuring alignment with academic priorities.

Director, Thomas J. Watson Institute for International and Public Affairs, Brown University

July 2013-July 2015

- Served as Chief Executive Officer of the Watson Institute, responsible for strategic direction of the Institute, managing the Institute's operations and budget, and hiring faculty, postdoctoral fellows, and staff.
- Key accomplishments: hired 10 new faculty members; launched a new postdoctoral fellows program that appointed six postdoctoral fellows per year steady state; expanded the faculty fellows program from four to 19 Brown faculty; developed a new strategic plan that involved hiring 18 new faculty and raising over \$80 Million; established new governance model for the Institute; reestablished the core research programs (security, development, governance); created a number of new initiatives (e.g. Art at Watson, Distinguished Speaker Series, Watson Institute-U.S. Naval War College Partnership, and Work in Progress seminar series); integrated the Taubman Center for American Institutions and Policy into the Watson Institute and re-designed and re-launched the public policy program at Brown; and raised (alone or in collaboration with Brown colleagues) over \$80 million dollars to support new endowed chairs, postdoctoral fellowships, programmatic activities, and a new Watson Institute building.

Department Head, MIT Political Science Department

June 2010-June 2013

- Ran department of 30 professors and 120 undergraduate and graduate students. Responsible for hiring, promotion, budget, and curriculum.

- Key accomplishments: raised over \$10 million in gifts to support graduate fellowships and created one new professorship, developed and implemented a strategic plan for the department that led to eight new faculty hires, the redesign of the graduate and undergraduate programs, the renovation of the department’s physical plant, and the launch of a new website and outreach activities (conferences, seminar series).

Deputy Dean, MIT Sloan School of Management

June 2009-January 2011

June 2012-June 2013

- Responsible for School’s Educational programs (MBA, EMBA, Sloan Fellows, PhD, and BS degrees), International Programs, Executive Education Programs (\$30 Million in yearly revenue), and the Sloan Management Review.
- Key accomplishments: grew and diversified Executive Education business during economic recession, designed and launched new non-residential Executive MBA Program (120 mid-career executives), re-launched the Sloan Management Review and increased its online presence, introduced the Sustainability Certificate in the MBA program, designed a new required ethics module in the MBA program, and oversaw the School’s distinctive “Action Learning” programs.

Faculty Chair, Sloan Fellows Program

2003-2009

- Responsible for MIT Sloan’s Premier Mid-Career Residential Executive Program
- Key accomplishments: doubled the size of the Sloan Fellows Program (from 55 to 110 students), redesigned its curriculum and renewed its teaching staff, increased its visibility and impact within MIT.

OTHER APPOINTMENTS

Bocconi University, Milan, Italy

2014-2017

Visiting Professor (Research)

Mossavar-Rahmani Center for Business and Government

2006-2007

John F. Kennedy School of Government, Harvard University

Visiting Scholar

Saïd Business School, University of Oxford

Spring 2004

Visiting Professor of Management

Universidade Federal do Rio de Janeiro, Brazil

Summers 2000, 2002

Visiting Professor, Institute of Social Sciences

C.U.O.A.; Veneto, Italy

1998-2006

Visiting Professor of Management

Universita Degli Studi di Venezia, Italy

May 1994

Visiting Professor of Management

Georg-August Universität; Göttingen, Germany

1991-1992

Visiting Scholar in Department of Sociology

S.T.O.A.; Ercolano, Italy
Visiting Professor of International Management

Summers 1990-1992

CONSULTING WORK

- Pro-bono consultant, U.S. Department of Labor** 2014-2016
Developed and conducted trainings for staff of the International Labor Affairs Bureau of the U.S. Department of Labor on effective labor law enforcement strategies and public-private partnerships aimed at promoting sustainable labor rights compliance.
- Pro-bono Chair, Apple Academic Advisory Board (AAAB)** 2013-2016
Coordinated a group of external academics to advise Apple on how best to improve working conditions among its suppliers.
- Pro-bono member, Advisory Committee, Better Work Program** 2013-present
Advise leadership of this International Labor Organization – International Finance Corporation joint initiative on how best to promote decent work and improved labor standards in a variety of developing countries.
- Pro-bono consultant; Retail Industry Leaders Association (RILA)** 2012- 2015
Worked with this industry association on promoting various sustainability initiatives.
- Pro-bono consultant; NIKE, Inc.** 2011-2016
Provided guidance on NIKE’s Corporate Responsibility Report and on NIKE’s “Equitable Manufacturing” initiative.
- Pro-bono consultant; Oxfam USA, Boston, MA.** 2009-2010
Worked with private sector development group on a poverty foot print study of Coca Cola’s sugar supply chain in Zambia and El Salvador.
- Pro-bono consultant; Confederazione Generale Italiana del Lavoro di Lombardia, Milan, Italy.** Summer 1994
Worked with leadership of the CGIL of Lombardia, the largest union confederation of Italy’s most industrial region, on developing new labor market and industrial policy strategies.
- Pro-bono consultant; Needle Trades Action Project, New Bedford/Fall River, MA** 1989-1990
Worked with state-sponsored group of firms, unions and local government agencies to develop strategies to revive apparel industry in Southeastern Massachusetts. Several MIT Sloan School MBA students assisted with this work and wrote seminar papers and theses based on their experience.

HONORS AND AWARDS

Awards

- Distinguished Winner of the Responsible Research in Management Award 2019
- American Political Science Association 2018 Dorothy Day Award for Outstanding Labor Research 2018
- Schreiber Family Professor of Political Science and International and Public Affairs, Brown University 2018
- Progress Medal Laureate, Society for Progress 2016

- Howard R. Swearer Directorship, Brown University 2013
- Emerald Citation for Excellence Award for, “Does Monitoring Improve Labor Standards? Lessons from Nike.” *Industrial & Labor Relations Review* (2007) 2011
- Class of 1922 Chair of Political Science and Management 2010
- Jamieson Prize for Excellence in Teaching, MIT 2008
- Class of 1960 Innovation in Teaching Award, MIT 2007
- Faculty Pioneer for Academic Leadership, Aspen Institute Business and Society Program 2005
- Excellence in Teaching Award, MIT Sloan School of Management 2003
- Alvin J. Siteman (1948) Chair in Entrepreneurship, MIT Sloan School of Management 2000
- Faculty Appreciation Award, MIT Sloan School of Management 1999
- “Outstanding Academic Books for 1995” by CHOICE magazine for Remaking the Italian Economy. 1995
- Graduate Management Society Teaching Award 1990
- I.R.I. Career Development Chair in International Management, Sloan School of Management 1989-1993
- Honorary Pre-Dissertation Fellow, Council for European Studies, Columbia University 1986
- William Day Leonard Prize, Wesleyan University, “Awarded by the faculty to the member of the College who exemplifies the highest standards of character and performance in his campus life.” 1980

Fellowships

- Bosch Public Policy Fellow, The American Academy; Berlin, Germany 2001-2002
- Younger U.S. Scholars Fellowship, The German Marshall Fund of the United States 1991-1992
- International Doctoral Fellowship for Western Europe, Social Science Research Council-American Council of Learned Societies 1986-1988
- ITT International Fellowship, Institute of International Education. (The top Fulbright Fellowship candidate for certain countries became the ITT Fellow. The program no longer exists.) 1986-1988
- Graduate Tuition Fellowship, Department of Political Science, MIT 1984-1986

Gifts and Grants

- Grant from the Andrew W. Mellon Foundation to support research on the future of work and implications for higher education (\$100,000). 2018
- Seed Grant from the Ford Foundation to support a new research project on “Good Jobs in the Global Economy,” (\$250,000). 2018
- Institutional Support Gifts (various donors) to the Watson Institute to support new faculty chairs, post-doctoral fellowships, graduate fellowships, and a new building. 2013-2016
- Institutional Support Grant, Banco do Nordeste. To support research and outreach activities focused on sustainable economic development in Brazil’s northeast region (\$300,000). 2012
- Endowed Chair, Frank Stanton Foundation. To establish a new endowed professorship in Nuclear Security Policy in the MIT Political Science Department (\$5,000,000). 2012
- Institutional Support Grant, Instituto Tecnológico Vale (Brazil). To support faculty and student exchanges and collaborative research initiatives focused on sustainable business practices (Sloan School of Management) (\$6,000,000). 2012
- Research Grant, Korean Development Institute/World Bank Institute. To support

- project on Industrial Policy in Late-Developing countries (\$350,000). 2011
- Research Grant. Microsoft/Center for Responsible Enterprise and Trade. To support Just Supply Chains project (\$300,000). 2011
- Research Grant. MIT Sloan School of Management to support new research on Labor Standards and Lean manufacturing among Nike’s Apparel Supplies (\$75,000). 2011
- Training and Outreach Grant. US Department of State. To support Buyer-Supplier Dialogues on Improving Working Conditions in Global Supply Chains (\$350,000) 2011
- Institutional Support Grant, Mr. Jon Borschow (Foundation for Puerto Rico). To endow a PhD fellowship in the MIT Political Science Department (\$1,000,000). 2011
- Institutional Support Grant, Nike, Inc. To endow a PhD fellowship in the MIT Political Science Department (\$700,000). 2011
- Institutional Support Grant, Mr. Andrónico Luksic (Banco de Chile). To endow a PhD fellowship in the MIT Political Science Department (\$1,000,000). 2010
- Itaú Unibanco Research Fund, Itaú Unibanco. To support Sustainability related projects in Brazil and Latin America (\$500,000). 2009
- Seed Grant, Mr. Larry Fish. To establish the MIT-Brazil Program (\$500,000). 2009
- Institutional Support Grant, Banco Santander. To support the MIT-Brazil Program (\$1,500,000). 2009
- Research Grant, PIMO. To support research on labor standards in electronics supply chains (\$120,000). 2009
- Research & Teaching Innovation Grant, Mrs. Ivy Head. To support the Sustainability Initiative at Sloan (\$50,000). 2008
- Research & Teaching Innovation Grant, Ms. Sandy Lim. To support the Sustainability Initiative at Sloan (\$50,000). 2008
- Research & Teaching Innovation Grant, Mr. Gustavo Pierini. To support the Sustainability Initiative at Sloan (\$50,000). 2008
- Teaching Innovation Grant, Mr. Gary Bergstrom. To support the Global Entrepreneurship Laboratory (\$10,000). 2008
- Teaching Innovation Grant, MIT International Science and Technology Initiatives, To support the Global Entrepreneurship Laboratory section on Global Health Delivery (\$30,000). 2008
- Research Grant, MIT Center for International Studies to support graduate student research on “Just Supply Chains” (\$10,000). 2008
- Teaching Innovation Grant, Mr. Doug Drane. To support the Global Entrepreneurship Laboratory section on Global Health Delivery (\$120,000). 2008
- Teaching Innovation Grant, Mr. Jack Hennessey. To support both Global Entrepreneurship Laboratory and Leadership Center Activities (\$250,000). 2008
- Teaching Innovation Grant, Mr. Jack Hennessey. To support both Global Entrepreneurship Laboratory and Leadership Center Activities (\$100,000). 2007
- Teaching Innovation Grant, Bergstrom Family Foundation. To support Global Entrepreneurship Laboratory Course (\$20,000). 2007
- Officers' Grant, Sloan Foundation. To seed “New Institutions for a Global Economy” project (\$45,000). 2006
- Seed Research Grant, Dean’s Office, Sloan School of Management. To support Sustainability@Sloan Initiative (\$300,000). 2006
- Research Grant, Dean’s Office, Sloan School of Management. To support project on “Making Globalization Work for All” (\$36,000). 2005
- Teaching Innovation Grant, Bergstrom Family Foundation. To support Global Entrepreneurship Laboratory Course (\$30,000). 2005

- Seed Research Grant, Sloan Management Institute, Sloan School of Management. To support project on “Making Globalization Work for All” (\$30,000). 2004
- Teaching Innovation Grant, Bergstrom Family Foundation. To support Global Entrepreneurship Laboratory Course (\$25,000). 2004
- Teaching Innovation Grant, Mr. Jack Hennessey. To support both Global Entrepreneurship Laboratory Course and Leadership Center Activities (\$300,000). 2004
- Teaching Innovation Grant, Bergstrom Family Foundation. To support Global Entrepreneurship Laboratory Course (\$50,000). 2003
- Seed Research Grant, MIT School of Humanities and Social Sciences Dean’s Fund to support graduate student research on “Migration and Development” (\$25,000). 2003
- Research Grant for “Globalization, Development and Standards,” Hewlett Foundation (\$400,000). 2002
- Teaching Innovation Grant, Bergstrom Foundation (\$65,000). 2002
- Faculty Research Grant from the MIT School of Humanities, Arts and Social Studies to fund “Globalization, Migration and Development” workshop/ seminar series (\$20,000). 2002
- Faculty Research Grant, Society for Organizational Learning, MIT. To seed “Observatory on the New Economy” Project (\$50,000). 2001
- Faculty Research Grant, Society for Organizational Learning, MIT Funded Graduate Students working on "US Unions and their Efforts to Change" Project (\$50,000). 1999
- Faculty Research Grant, MIT Provost Fund. Funded Research Assistants to help with my Southern Italy/Eastern Germany Conference (\$10,000). 1997
- Research Grant, “Reconstructing America’s Labor Market Institutions,” funded by the Ford and Rockefeller Foundations. I was a co-principle investigator on this project, with Professors Paul Osterman and Thomas Kochan, Sloan School of Management (\$650,000). 1997
- Research Grant, “Revisiting Regional Policy,” funded by the Bank of the Northeast of Brazil. I was a co-principal investigator of this project, with Professor Judith Tendler, Department of Urban Studies and Planning (\$395,000). 1997
- Research Grant, “Cooperation in a Rent-Seeking World: Economic Development in Germany, Italy, and Brazil,” awarded by the MIT – Harvard Transnational Security Faculty Seed Grant Fund (\$4,700). 1996
- Research Grant, “A Tale of Two Regions: Political Strategies for Economic Development in Eastern Germany and Southern Italy,” awarded by the Program for the Study of Germany and Europe, Harvard University (\$40,000). 1996
- Research Grant, “International Changes in Industrial Innovation: Consequences for the Research System,” funded by the German – American Academic Council Foundation. I was the co-principle investigator of this project, with Professor Richard Lester, of the Industrial Performance Center (\$575,000). 1996
- Research Grant, funded by the MIT Industrial Performance Center/Sloan Foundation. To fund the creation of an Observatory on Industrial Practice (\$120,000). 1995
- Research Grant from the Program for the Study of Germany and Europe, Harvard University. Funded seminar series on “The German Political Economy in Transition” and provided funding for Harvard and MIT graduate students interested in participating in the Germany-U.S. Mutual Learning Workshop, held at the International Industrial Relations Association meeting in June 1995 (\$30,000). 1994
- Research Grant from MIT Industrial Performance Center. Funded research project on “Struggling to Change: Organizational Innovation and Its Limits in the American

- Labor Movement” (\$50,000). 1993
- Research Grant from the Program for the Study of Germany and Europe, Harvard University. Funded research project and workshop on “The Shifting Boundaries of Labor Politics: New Directions for Comparative Research and Theory” (\$35,000). 1992-1993
- Research Grant from the MIT Industries Project. Funded a Ph.D. student to assist me with the “Resurgence of the Local Union” project (\$30,000). 1991-1992
- Research Grant from Ente Nazionale Idrocaburi (ENI) of Italy. Funds used to support the MIT Industrial Relations Section International Industrial Relations Project (\$50,000). 1991-1992
- Research Grant from Gruppo Finanziario Tessile of Italy. This grant supported two Ph.D. students who assisted me with my research on the reorganization of the Italian apparel industry (\$75,000). 1988-1989

TEACHING

Brown University Department of Political Science

- Political Economy of Labor and Development: The Future of Work (2018)
- Building a Better World: Film and Social Change (2015)
- Proseminar in Comparative Politics (2014)
- Workshop in Comparative Politics (2014)
- Political Economy Labor and Development (2013)

MIT Sloan School of Management

- Puerto Rico Lab (PR-Lab)
- Laboratory for Sustainable Business (S-Lab)
- Global Entrepreneurship Laboratory (G-Lab)
- Global Markets, National Policies and the Competitive Advantages of Firms
- Entrepreneurship without Borders
- Organizational Process and Change
- Managing People and Organizations in a Changing World Economy
- Industrial Relations Research and Theory
- International Business Management

MIT Department of Political Science

- Building a Better World
- Labor and Politics
- Qualitative Field Research Methods
- Dissertation Workshop in Comparative Political Economy
- Introduction to Public Policy
- Problems of Advanced Industrial Nations
- Field Seminar in Comparative Politics
- Governments, Secondary Associations and Economic Development
- Political Economy I

Executive Education

- Companhia Vale do Rio Doce (Vale/CVRD)
Program chair 2004-2012
Co-designed, co-ran, and taught in executive education program for 500 top

managers of major Brazilian mining company

- [BP Projects Academy](#)
- [BP Operations Academy](#)
- [Nanyang Fellows Program](#)
- [Entrepreneurship Development Program](#)
- [Managing the Extended Supply Chain: Beyond Productivity and Efficiency](#)
- [IDEAS Indonesia](#)
- [International Management Program](#)
- [Veolia](#)

PROFESSIONAL ACTIVITIES

Brown University

- Provost 2015-present
- Co-Chair, Deficit Reduction Working Group 2014-2015
Faculty lead on a faculty-senior staff committee tasked with eliminating Brown University's \$10 Million budget deficit
- Member, faculty search committees for a senior professor in International Relations, for a senior professor in Public Policy, for a joint Watson-Anthropology professor, and for a joint Watson-History professor of the Middle East. 2014
- Member, Global Engagement Steering Committee 2013-2014
- Member, Brown-IE EMBA Program Academic Advisory Committee 2013-2014
- Member, faculty search committee for Political Science-Watson joint searches in security studies and governance; and Sociology-Watson joint search in international development Fall 2013
- Interim Director, Brazil Initiative July 2013-January 2014

MIT Administrative Activities

Committees

- Member, Presidential Search Committee 2012
- Member, International Advisory Committee 2009
- Co-Chair, Procurement Working Group, MIT Task Force 2008-2009
Faculty lead on faculty-senior staff working group that analyzed MIT's procurement processes, identifying about \$20 million in potential savings through more efficient, more sustainable purchasing practices.
- Chair, MIT Ad Hoc Committee on Poverty Innovation Lab 2008
Chaired faculty committee to design and propose a new Poverty Institute at MIT.
- Member, Faculty Policy Committee 2011-2013
- Member, MIT Global Health Initiative 2008
- Member, MIT Global Council 2008-2009
- Member, MIT Committee on Nominations 2006-2009
- Member, MIT Committee on Student Life 2004
- Director, MIT Italy Program 1999-2008
- Member, MIT Family Leave Policy Committee 2002
- Member, Killian Award Committee 2000-2002
- Associate Director - Industrial Performance Center 1997-1999
- Chair, Edgerton Award Committee 1997-1998

- Member, Institute-wide Search Committee for Director of Career Services, MIT 1996
- Member, MIT Foreign Scholarships Committee 1994-2013
- MIT Childcare Committee 1989-2002
- Freshman Advisor 1989, 1998, 2000, 2006
- Faculty Affiliate, Center for International Studies, MIT 1989-2013

MIT Sloan School of Management Administrative Activities

Committees

- Chair, Action Learning Committee 2008-2010
- Member, Executive MBA Committee 2007-2009
- Chair, Sloan Sustainability Research Group 2007-2010
- Chair, Sustainability at Sloan Group 2006-2010
- Co-Chair, Sloan Convocation Organization Committee 2006, 2008
- Faculty Lead, Exploratory Committee for MIT Sloan Portugal Program
Designing International Collaboration between MIT Sloan School and Leading Business Schools in Portugal 2006-2007
- Chair, Faculty Search Committee: China Management Professor 2003
- Member, Sloan MBA Curriculum Redesign Committee 2002; 2011
- Sloan 50th Anniversary Convocation 2002
- Member, Sloan School Executive Education Committee 2002-2013
- Member, Sloan Fellows Program Committee 2003-2013
- Member, Sloan School International Initiatives Committee 2001-2013
- Chair, Sloan School European Task Force 2001-2002
- Faculty Affiliate, MIT Entrepreneurship Center 2000-2013
- Member, Faculty Search Committee: Organizational Studies 1999
- Member, Ph.D. Admissions Committee 1999
- Member, Faculty Search Committee: Strategy 1996
- Various Personnel Subcommittees for the Sloan School of Management 1996-2013

MIT Department of Political Science Administrative Activities

Committees

- Strategic Planning Task Force 2008-2009
- Chair, Faculty Search Committee: Comparative Political Economy 2008-2009
- Chair, Faculty Search Committee: Methodology 2007-2009
- Member, Ph.D. Admissions Committee 2003-2006
- Member, Faculty Search Committee: American Politics 2002
- Chair, Faculty Search Committee: Comparative Politics 1999
- Chair, Senior Faculty Search Committee: Gender and Politics 1996
- Member, Senior Faculty Search Committee: Comparative Political Economy 1996
- Member, Financial Aid Committee 1996
- Various Personnel Subcommittees for the Department of Political Science 1996-2013

Other Activities

- CNE Growth Commission 2016-present
- Harvard-MIT Working Group on Private Governance (co-organizer) 2008-2012

- MIT-Stanford University Just Supply Chains Project (co-organizer) 2008-2012
Co-Organizer of Inter-University, Cross-Sectoral (Academics, Corporations, NGOs, Labor Unions, International Organizations) research and outreach initiative aimed at promoting just working conditions within global supply chains. Group meetings alternate between MIT and Stanford University.
- Faculty Research Associate, Center for European Studies, Harvard University 1989-2002

Professional Associations

- Member, Council on Foreign Relations 2015-present
- Member, Academy of Management (AOM) 2009
- Member, Academy of International Business (AIB) 2008
- Executive Council, Society for the Advancement of Socio-Economics (SASE) 2007-2012
- Member, Labor & Employment Relations Association (LERA) formerly *Industrial Relations Research Association (IRRA)* 1999-present
- Member, International Industrial Relations Association 1998-2002
- Member, American Political Science Association (APSA) 1986-present

Member, Editorial Boards

- *Journal of Management and Governance* 2001-2004
- *Studies in Comparative and International Development* 2014-2016
- *Industrial Relations Journal* 1999-2012
- *Industrial and Corporate Change* 1999-2004
- *Socio-Economic Review* 2010-2013

External Boards and Review Committees

- Member, External Review Committee – Department of Political Science, Universidade de São Paulo 2005
- Global Advisory Board Member, The Endeavor Initiative, New York, NY 2003-2008
- Member, Advisory Board, The Boston Review 1995-present

PUBLICATIONS

Books

- Richard Locke and Rachel Wellhausen, eds., *Production in the Innovation Economy*, Cambridge, MA: The MIT Press. 2014.
- Richard Locke, *The Promise and Limits of Private Power Promoting Labor Standards in a Global Economy*, New York: Cambridge University Press. 2013.
- Co-author with Paul Osterman, Thomas Kochan, Michael Piore, *Working in America*, Cambridge, MA: The MIT Press. 2001.
- Richard Locke, Thomas Kochan, and Michael Piore, eds., *Employment Relations in a Changing World Economy*, Cambridge, MA: The MIT Press. 1995.
- Richard Locke, *Remaking the Italian Economy*, Ithaca, NY: Cornell University Press. 1995.
Nominated for two American Political Science Association Book Awards: “The Best Book in Political Economy” Award and “The Gregory Luebbert Book” Award. Won “Outstanding Academic Books for 1995” by CHOICE magazine., Cambridge, MA: The MIT Press. 1995.

Articles Published in Refereed Journals

- Co-author with Greg Distelhorst, “Does Compliance Pay? Firm-level Trade and Social Institutions,” *American Journal of Political Science*, June 2018. Received APSA 2018 Dorothy Day Award for Outstanding Labor Research.
- Co-author with Hiram Samel, “Beyond The Workplace: "Upstream" Business Practices and Labor Standards in the Global Electronics Industry,” *Studies in Comparative International Development*, December 2017.
- Co-author with Greg Distelhorst and Jens Hainmueller, “Does Lean Improve Labor Standards? Management and Social Performance in the Nike Supply Chain,” *Management Science*, 2017, 63:3, 707-728. Awarded the 2019 Distinguished Winner of the Responsible Research in Management Award.
- Co-author with Greg Distelhorst, Hiram Samel, and Timea Pal, “Production Goes Global, Compliance Stays Local: Private Regulation in the Global Electronics Industry,” *Regulation and Governance*, Volume 9, Issue 3, pages 224–242, September 2015.
- Co-author with Salo Coslovsky, “Parallel Paths to Enforcement: Private Compliance, Public Regulation, and Labor Standards in the Brazilian Sugar Sector,” *Politics and Society*, Vol.41, No. 4 (December 2013): 497-526.
- Co-author with Ben Rissing and Timea Pal, “Complements or Substitutes? Public vs. Private Regulation and the Enforcement of Labor Standards in Global Supply Chains,” 50th Anniversary issue of *The British Journal of Industrial Relations*, Vol.51, No.3 (September 2013): 519-552.
- Co-author with Monica Romis, “The Promise & Perils of Private Voluntary Regulation: Labor Standards and Work Organizations in Two Mexican Factories,” *Review of International Political Economy*, Vol. 17, No. 1 (February 2010).
- Co-author with Matthew Amengual and Akshay Mangla, “Virtue out of Necessity?: Compliance, Commitment and the Improvement of Labor Conditions in Global Supply Chains,” *Politics & Society*, Vol. 37, No 3 (September 2009): 319-351.
- Co-author with Thomas Kochan, Monica Romis, and Fei Qin, “Beyond Corporate Codes of Conduct: Work Organization and Labour Standards at Nike's Suppliers,” *International Labour Review*, Vol. 146 Issue 1/2, (March-June 2007): 21-37.
- Co-author with Fei Qin and Alberto Brause, “Does Monitoring Improve Labor Standards?: Lessons from Nike,” *Industrial and Labor Relations Review*, Volume 61, Issue 1 (October 2007): 3-31.
- Co-author with Monica Romis, “How to Improve the Global Workplace,” *MIT Sloan Management Review*, Volume 49, Number 2 (Winter 2006).
- “Construindo Confiança,” *Economica*, Vol. 3, No. 2 (September 2003)
- Co-authored with Lucio Baccaro, “The End of Solidarity?: The Decline of Egalitarian Wage Policies in Italy and Sweden,” *European Journal of Industrial Relations*, Vol. 4, No. 3, (November 1998): 283-308.
- Co-author with Wade Jacoby, “The Dilemmas of Diffusion: Social Embeddedness and the Problems of Institutional Change in Eastern Germany,” *Politics & Society*, Vol. 25, No. 1, (March 1997): 34-65.
- Co-author with Lucio Baccaro, “Learning From Past Mistakes? Recent Reforms in Italian Industrial Relations,” *Industrial Relations Journal*, Vol. 27, No. 4, (December 1996): 289-303.
- “The Composite Economy: Local Politics and Industrial Change in Contemporary Italy,” *Economy and Society*, Vol. 25, No. 4, (November 1996): 483-510.
- Co-author with Kathleen Thelen, “Apples and Oranges Revisited: Contextualized Comparisons and the Study of Comparative Labor Politics,” *Politics & Society*, Vol. 23, No. 3 (September 1995): 337-68. (Revised translated version, “Comparações contextualizadas: Uma abordagem alternativa para a análise de política sindical,” in *Revista Latinoamericana de Estudos del Trabajo*, Vol. 4, No. 8, 1998.)
- “Una economia differenziata: politica locale e cambiamento industriale,” *Stato E Mercato*, n.43 (April 1995): 27-64.
- “The Demise of the National Union in Italy: Lessons for Comparative Industrial Relations Research,” *Industrial and Labor Relations Review*, Vol. 45, No. 2 (January 1992): 229-49.

- “The Resurgence of the Local Union: Industrial Restructuring and Industrial Relations in Italy,” *Politics & Society*, Vol. 18, No. 3 (1990): 347-79.

Articles Published in Non-refereed Journals

- Co-author with Greg Distelhorst, “Labour Standards in Asian Export Factories: Does Compliance Pay?” VoxDev, July 5, 2019.
- “Looking in the Wrong Direction? Reflections on Italy’s Most Recent ‘Crisis,’” *Journal of Modern Italian Studies*, Vol. 19, N. 4 (2014): 375-379.
- “Can Global Brands Create Just Supply Chains?” *Boston Review*, Vol. 38, No. 3 (May-June 2013): 12-18.
- “Rethinking Compliance: Improving Working Conditions in Global Supply Chains,” *Perspectives on Work*. Volume 13, No. 1 (Summer 2009): 3-5
- “Education as an Emotional Experience: Reflections of a Teacher,” *Schools: Studies in Education*. Volume 3, Issue 2 (October 2006): 31-50.
- “Claves para Ser Un Pais de Emprendedores,” *Empresas COPEC*, No. 55 (April 2004).
- Co-author with Suzanne Berger, “Globalization and Il Caso Italiano,” *Daedalus*, Volume 130, No. 3 (Spring 2001).
- Co-author with Zairo Cheibub, “Reforma administrativa e relacoes trabalhistas no setor publico,” *Cadernos ENAP*, n. 18. 1999
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 - Framework Analysis of the Influence in Four Private Voluntary Initiatives,
 - International Relations Program, Brown University. 2014
- **Lorenzo Moretti**, “Neoliberalism in the Italian Economy,
 - Department of Political Science, Brown University. 2014

INVITED PRESENTATIONS (Last 10 years)

Keynote Address: Dedication of Stephen Robert '62 Hall Watson Institute for International and Public Affairs

- Watson Institute for International and Public Affairs, Brown University, May 23, 2019.

“Making Globalization Fair for All”

- University of Bologna, Bologna, Italy, March 28, 2019.

“Deliberation and University Governance: The Case of Brown University’s Diversity and Inclusion Action Plan”

- Institute for Work and Employment Research Seminar, MIT, September 25, 2018.

“The Opportunity of a Lifetime”

- Brown University’s 255th Convocation, September 4, 2018.

“The ‘Labor Problem’: Can we Create Just Supply Chains in a Global Economy?”

- Gustav Pollak Lecture Series, presented at Harvard Kennedy School, April 4, 2018.

“The ‘Labor Problem’: Can we Create Just Supply Chains in a Global Economy?”

- Hull Lecture, presented at Swarthmore College, November 3, 2017.

“Does Compliance Pay? Firm-level Trade and Social Institutions”

- Presented at Bocconi University, Milan, Italy. June 20, 2017.

“Deliberation and University Governance: The Case of Brown University’s Diversity and Inclusion Action Plan”

- Presented at Ideas That Matter: A Conference in Honor of the Work and Teaching of Joshua Cohen, Stanford University, January, 2017.

“Governing Global Supply Chains: What Works Well? What Works Less Well? Lessons for Future Research”

Presented at:

- 5th International Social Life Cycle Assessment Conference, T.H. Chan School of Public Health, Harvard University, June 2016.
- Fair Labor Association Board Meeting, June 8, 2016.
- Invernizzi Center for Research on Innovation, Organization, Strategy and Entrepreneurship, Bocconi University, Milan, Italy, April 21, 2016.
- SNS, The Center for Business and Policy Studies, Stockholm, Sweden, June 2, 2016.
- New Conversations Project, Cornell University ILR School: Sustainable Labor Practices in Global Supply Chains, October 12, 2015.

“Best Practices and Responsible Business Conduct in Supply Chains”

- Corporate Program Executive Roundtable, Council on Foreign Relations, Washington, DC, March 16, 2016.

Panel Member, “Application of Due Diligence in the Complex and Fast-Paced Sector”

- OECD Roundtable on Due Diligence in the Garment and Footwear Supply Chain, October 1, 2015.

“From Made to Making in America: Perspectives on U.S. Manufacturing Over 25 Years”

- Presented at the Industry Studies Conference, Kansas City, MO, May 28, 2015.

“The Global Garment Industry Post Rana Plaza. What Works?”

- Presented at the ILO/IFC Better Work Program’s Global Buyers Forum, New York City, November 3, 2014.

“Promoting Labor Standards in Global Supply Chains: What Works Well, Not so Well, and What Can Universities Do?”

- University of Michigan Global Human Rights and Labor Standards Forum, October 10, 2014.

“Buying with a Conscience – Garments Made in Bangladesh?”

- Presented at the University of Manchester, United Kingdom, May 19, 2014.

“Social Rights and Labor in Middle-Income Families”

- Presented at The Penn Program on Democracy, Citizenship, and Constitutionalism conference “Work & the Social Rights of Citizenship in the 21st Century” at the University of Pennsylvania, Philadelphia, May 9, 2014.

“The Promise and Limits of Private Power: Promoting Labor Standards in a Global Economy”

Presented at:

- Brown University, Parents Day Week-End Forum, October 25, 2014.
- Northwestern University, Department of Political Science, October 23, 2014.
- Providence Council for Foreign Relations, Providence, RI, June 24, 2014.
- Brown University, Commencement Week-End Forum, May 24, 2014.
- University of California Berkeley, Political Science Department, April 4, 2014.
- U.S. Department of Labor, Washington, D.C., January 21, 2014.
- Center for Responsible Enterprise and Trade, Washington, DC, June 5, 2013.
- Council for Foreign Relations, New York City, June 11, 2013.
- Watson Institute for International Studies, Brown University, April 29, 2013.
- United States Council for International Business, Washington, DC., October 10, 2013.
- Risk and Regulation Seminar, The University of Pennsylvania Law School, December 3, 2013.
- GAP Headquarters, San Francisco, CA, January 29, 2014.
- McKinsey and Company, Boston office, January 17, 2014.

“Adversity Generates Opportunity: Relaunching Growth in Italy’s Economy,”

- Presented at the conference on “Italy in Crisis,” Watson Institute for International Studies, Brown University, October 30, 2013.

“Manufacturing in the Innovation Economy”

February 2013

- Presented at the National Academy of Sciences, Washington, DC, February 22, 2013.

March 2013

- Presented at the Keidanren, Keizai Koho Center & MIT-Japan Program Symposium, Japan, March 28, 2013.

“How Have Supply Chains Changed?”

March 2013

- Presented at the University of Washington Forum on the Transformation of Supply Chains, Seattle, WA, March 8, 2013.

“Beyond Compliance: Promoting Labor Standards in Global Supply Chains”

- Presented at the Maturity in Supply Chain Responsibility, Stanford, CA, March 8, 2012.
- Presented at the Society for the Advancement of Socio-Economics (SASE), 24th Annual Meeting, Cambridge, MA, June 28, 2012.
- Presented at the World Bank, Washington, DC, June 21, 2012.

“Our Challenge and Opportunity”

May 2012

- Presented at the United States' Department of State's Roundtable, "Safeguarding Intellectual Property and Preventing Corruption in Global Markets," Washington, DC, May 2, 2012.

"High Impact Entrepreneurial Development in Puerto Rico"

March 2012

- Presented at the Foundation for Puerto Rico Conference "Revitalizing Innovation," San Juan, PR, March 28, 2012.

"Looking in All the Wrong Places? 'Upstream' Business Practices & 'Downstream' Labor Standards in Global Supply Chains"

November 2011

- Presented at the Boston Review "Ideas Matter" forum. Cambridge, MA, September 20, 2011.
- Presented at the American Political Science Association annual meeting. Seattle, WA, September 3, 2011

"Making Globalization Work for All"

September- December 2011

- Presented at the Latin America Executive Board Meeting, MIT Sloan School of Management. Sao Paulo, Brazil, September 20, 2011.
- Presented at the MIT Alumni Association of Chile Event. Santiago, Chile, December 14, 2011.

"Entrepreneurship and Local Economic Development: Lessons for Northeast Brazil?"

April 2011

- Presented at The State of Ceará (Brazil) Joint Legislative Session. Fortaleza, Brazil, April 19, 2011.

"Capability Building and Its Limitations"

June 2011

- Presented at Society for the Advancement of Socio-Economics annual conference. Madrid, Spain, June 22, 2011.

"Justice Beyond Compliance; Labor Standards in Global Supply Chains"

December 2010

- Presented at the Duke University Seminar on Global Governance & Democracy. Durham, North Carolina, December 2, 2010.

"Building a Sustainable Economy? Opportunities, Challenges, and the Blurring of Organizational/Institutional Boundaries"

June 2010

- Presented at the Society for the Advancement of Socio-Economics. Temple University, Philadelphia, June 26, 2010.

"Certification Systems, Ratings and Codes of Conduct"

June 2010

- Presented at the Society for the Advancement of Socio-Economics. Temple University, Philadelphia, June 26, 2010.

“Improving Labor Standards in Global Supply Chains: Progress Report from the Just Supply Chains Project”

January – February 2010

- Presented at the Nancy Bell Evans Center on Nonprofits and Philanthropy, University of Washington, Seattle, Washington, January 28, 2010.
- Presented at the United States Studies Center and the Institute of Sustainable Supply Chains Executive Roundtable, University of Sydney, Australia, February 15, 2010.

“Sustainability: Challenge or Opportunity for Management Today”

January – February 2010

- Presented at the Latin American Executive Board Meeting, MIT Sloan School of Management, Banco de Chile, Santiago, Chile, February 12, 2010.
- Presented at the Three on the Bund Seminar: “In the World For the World: Sustainable Business and Society”, Three on the Bund, Shanghai, China January 9, 2010.

“Transnational Integration Regimes and the Governance of Global Standards”

December 2009

- Presented at the AIB Conference on Research Frontiers in International Business, 2009 Frontiers Conference, Charleston Place Hotel, South Carolina, December 5, 2009.

“Improving Labor Standards in Global Supply Chains: Codes of Conduct, Monitoring, and Beyond”

November 2009

- Presented at the Center for World Affairs and the Global Economy (WAGE) and University of Wisconsin-Madison Labor Licensing Policy Committee public workshop, UW-Madison, Wisconsin, November 6, 2009.

Just Supply Chains Conference, Workshop 4: “Lean Manufacturing and Labor Standards”

October 2009

- Organized the Just Supply Chains Conference, Workshop 4: Lean Manufacturing and Labor Standards, held at MIT Sloan, Cambridge, MA, Oct 29—30, 2009. This workshop brought 40 practitioners from firms, NGOs, labor ministries, and international organizations engaged in various institutional experiments concerning employment relations in global supply chains and scholars studying global supply chains, corporate responsibility and global distributive justice.

“Management, Globalization, and Labor Standards”

October 2009

- Presented at the School of Labor and Employment Relations workshop entitled “Integrating Social Values in Teaching and Research,” University of Illinois, Champaign Urbana, October 9, 2009.

“Corporate Social Responsibility: How Should Business Behave in the Global Financial Crises?”

June 2009

- Presented at the 2009 National Summit entitled “Sustainable Globalization: Will It Survive the GFC?” InterContinental Hotel, Sydney, Australia, June 12, 2009.

“MIT Sloan Sustainable Society Initiative”

April 2009

- Presented to Banco Itaú, São Paulo, Brazil, April 13, 2009.

“Action Learning at MIT Sloan: The Case of the Global Entrepreneurship Laboratory (G-Lab)”

March – April 2009

- Presented to MIT Sloan European/Middle Eastern/South Asian/African Executive Board, Paris, France, April 24, 2009.
- Presented to MIT Sloan Latin American Executive Board, São Paulo, Brazil, March 13, 2009.

“Making Globalization Work for All? Reflections on Improving Labor Standards in Global Supply Chains”

February – May 2009

- Presented at the Globalization and Politics: A Conference in Honor of Suzanne Berger, MIT, Cambridge, MA, May 9, 2009.
- Presented at the Beyond Virtue: The Information Needs for Proving Virtuous Conduct -- Evaluating the Credibility of Transnational Ethical Standards Conference, at University of California: San Diego, San Diego, CA, March 21, 2009.
- Presented by video March 13, 2009 at the Geneva Summit by the Oxford-Achilles Working Group on Corporate Social Responsibility, Château des Bois, Switzerland. Presentation videotaped at MIT, Cambridge, MA, March 9, 2009.
- Presented at the MIT Corporation Visiting Committee Meeting for the Department of Political Science, MIT, Cambridge, MA, March 4, 2009.
- Presented at the Law and Globalization Seminar at Yale Law School, New Haven, CT, February 16, 2009.
- Presented at the MIT Political Science Work in Progress Colloquia (WIP), MIT, Cambridge, MA, February 12, 2009.

Just Supply Chains Conference, Workshop 3

January 2009

- Co-organized the Just Supply Chains Conference, Workshop 3, held at Stanford University, Stanford, CA, January 29, 2009. This workshop brought 40 practitioners from firms, NGOs, labor ministries, and international organizations engaged in various institutional experiments concerning employment relations in global supply chains and scholars studying global supply chains, corporate responsibility and global distributive justice.

“Improving Labor Standards Through Enhanced Supply Chain Coordination: The Case of The Coca Cola Company’s Sugar Supply Chain in Brazil”

January 2009

- Presented at the Just Supply Chains Conference Workshop 3, Stanford University, Stanford, CA, January 29, 2009.

“Improving Labor Conditions in Global Supply Chains: A Progress Report from the Just Supply Chains Project”

November 2008

- Presented at the “Transitions 2009 Conference”, Freeman Spogli Institute for International Studies, Stanford University, Stanford, CA, November 13, 2008.

“Does Monitoring Improve Labor Standards?”

July 2008

- Presented at the Society for the Advancement of Socio-Economics Meeting, San Jose, Costa Rica, July 22, 2008.

Just Supply Chains Conference, Workshop 2

May 2008

- Co-organized the Just Supply Chains Conference, Workshop 2, held at Stanford University, Stanford, CA, May 16-17, 2008. This workshop brought more than 50 practitioners from firms, NGOs, labor ministries, and international organizations engaged in various institutional experiments concerning employment relations in global supply chains and scholars studying global supply chains, corporate responsibility and global distributive justice.

"Virtue Out of Necessity: Compliance, Commitment and the Improvement of Labor Conditions of Global Supply Chains"

April – September 2008

- Presented at the Sloan Fellows Convocation, Cambridge, MA, September 19, 2008.
- Presented at the American Sociological Association Meeting, Boston, MA, August 2, 2008.
- Presented at the Society for the Advancement of Socio-Economics Meeting, San Jose, Costa Rica, July 23, 2008.
- Presented at the Academy of International Business Meeting, Milan, Italy, July 3, 2008.
- Presented at the Harvard-MIT Working Group on Private Governance: Meeting, Cambridge, MA, May 2, 2008.
- Presented at the Center for World Affairs and the Global Economy seminar, University of Wisconsin-Madison, Madison, WI, April 28, 2008.
- Presented at the Institute for Work and Employment Relations Research Seminar, MIT Sloan School of Management, Cambridge, MA, April 22, 2008.

"Global Entrepreneurship Laboratory: G-Lab"

April 2008

- Presented to delegation from the new Russian Business School, Skolkovo, Cambridge, MA, April 30, 2008.

"Making Globalization Work for All"

March 2008

- Presented to MIT Sloan Fellows '08 and Spouses, Cambridge, MA, March 10, 2008.

"MIT Sloan Sustainable Business & Society Initiative"

February – October 2008

- Presented at MIT Sloan Annual Appreciation Dinner, New York, NY, October 16, 2008.
- Presented at MIT Sloan Career Development Office -- 2008 Recruiters' Day, MIT, Cambridge, MA, April 30, 2008
- Presented to MIT Sloan Alumni, Austin, TX, March 27, 2008.
- Presented at MIT Sloan MBA Town Hall Meeting, Cambridge, MA, March 5, 2008.
- Presented at the MIT comes to NYC seminar, part of the MIT Alumni Association and the MIT Club of New York 2007-08 seminar series, New York, NY, February 6, 2008.

Just Supply Chains Conference, Workshop 1: "Monitoring...And Beyond?"

January 2008

- Co-organized the Just Supply Chains Conference, Workshop 1: "Monitoring...And Beyond?", held at MIT, Cambridge, MA, January 11-12, 2008. This workshop brought 50 practitioners from firms, NGOs, labor ministries, and international organizations engaged in various institutional experiments

concerning employment relations in global supply chains and scholars studying global supply chains, corporate responsibility and global distributive justice.